

A Decade of Deep Recommender Systems: Foundations and Trends

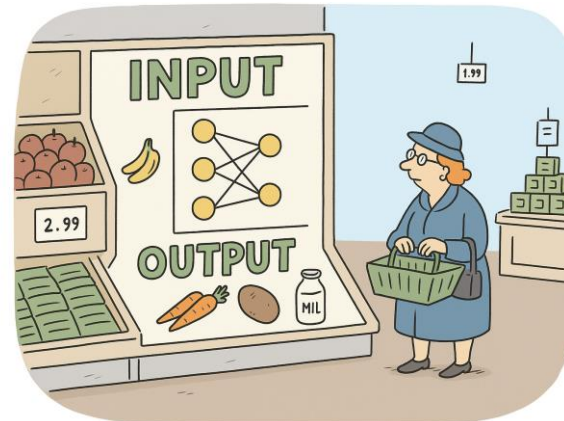
KDD 2025 Test of Time Award Presentation
for the KDD 2015 Paper “Collaborative Deep Learning for Recommender Systems”

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Collaborative Deep Learning (CDL)

One of the first deep learning recommender systems



Collaborative Deep Learning for Recommender Systems

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ABSTRACT

Collaborative filtering (CF) is a successful approach commonly used by many recommender systems. Conventional CF-based methods use the ratings given to items by users as the sole source of information for learning to make recommendation. However, the ratings are often very sparse in many applications, causing CF-based methods to degrade significantly in their recommendation performance. To address this sparsity problem, auxiliary information such as

significant role [40]. For individuals, using RS allows us to make more effective use of information. Besides, many companies (e.g., Amazon and Netflix) have been using RS extensively to target their customers by recommending products or services. Existing methods for RS can roughly be categorized into three classes [6]: content-based methods, collaborative filtering (CF) based methods, and hybrid methods. Content-based methods [17] make use of user profiles or product descriptions for recommendation. CF-based methods [23–27] use the past activities or preferences, such as

What is Collaborative Deep Learning (CDL)?

Collaborative Deep Learning (CDL)

Federated
learning?



Collaborative Deep Learning for Recommender Systems

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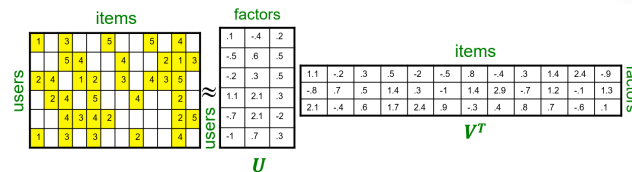
Collaborative Deep Learning (CDL)

End-to-end deep learning of compact user & item features

Collaborative
filtering



Low-rank
matrix factorization



Collaborative Deep Learning for Recommender Systems

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CDL jointly performs
collaborative filtering and deep learning of user & item features

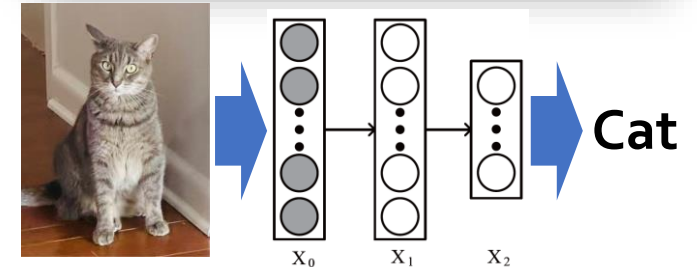
The Problem We Saw in 2014~2015

- **2 years** after the **ImageNet** moment
 - In 2012, AlexNet cuts the ImageNet error rate by half, starting the deep learning revolution
- Existing deep learning methods are **limited**
 - Only work for classification and regression
 - Not clear how to perform recommendation

The Economist

From not working to neural networking

The artificial-intelligence boom is based on an old idea, but with a modern twist



Rating Matrix:

movie	user				
	1	2	3	4	5
1	✓	?	?	?	?
2	✓	?	?	✓	?
3	?	?	✓	?	?
4	?	✓	?	?	✓
5	✓	?	?	?	?

Movie videos,
descriptions, etc.

Matrix Completion:

Observed Preferences:



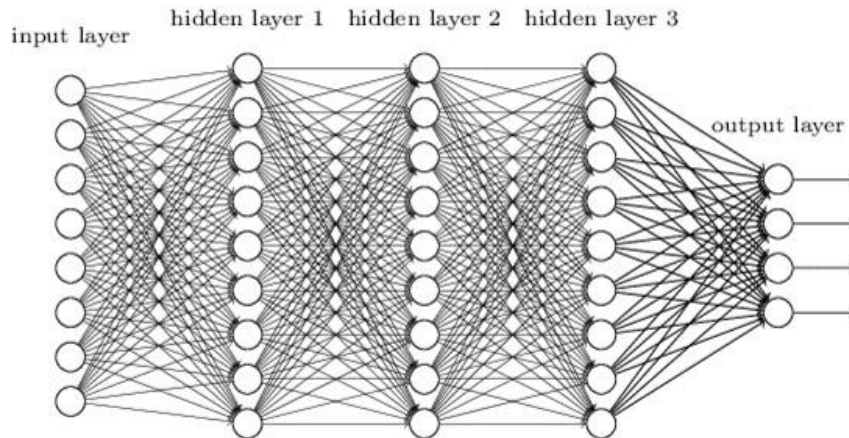
To Predict:

Beginning of 2014: Fundamental Limitation of Early Deep Learning

Perception

Deep Learning

High dimensional input:
Text, Images, Videos



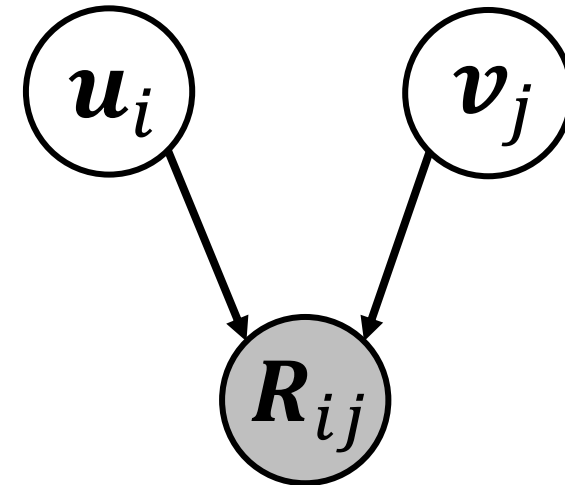
[ImageNet classification with deep convolutional neural networks. KSH. *NIPS* 2012]

Inference

Graphical Models

User i 's latent vector
(embedding)

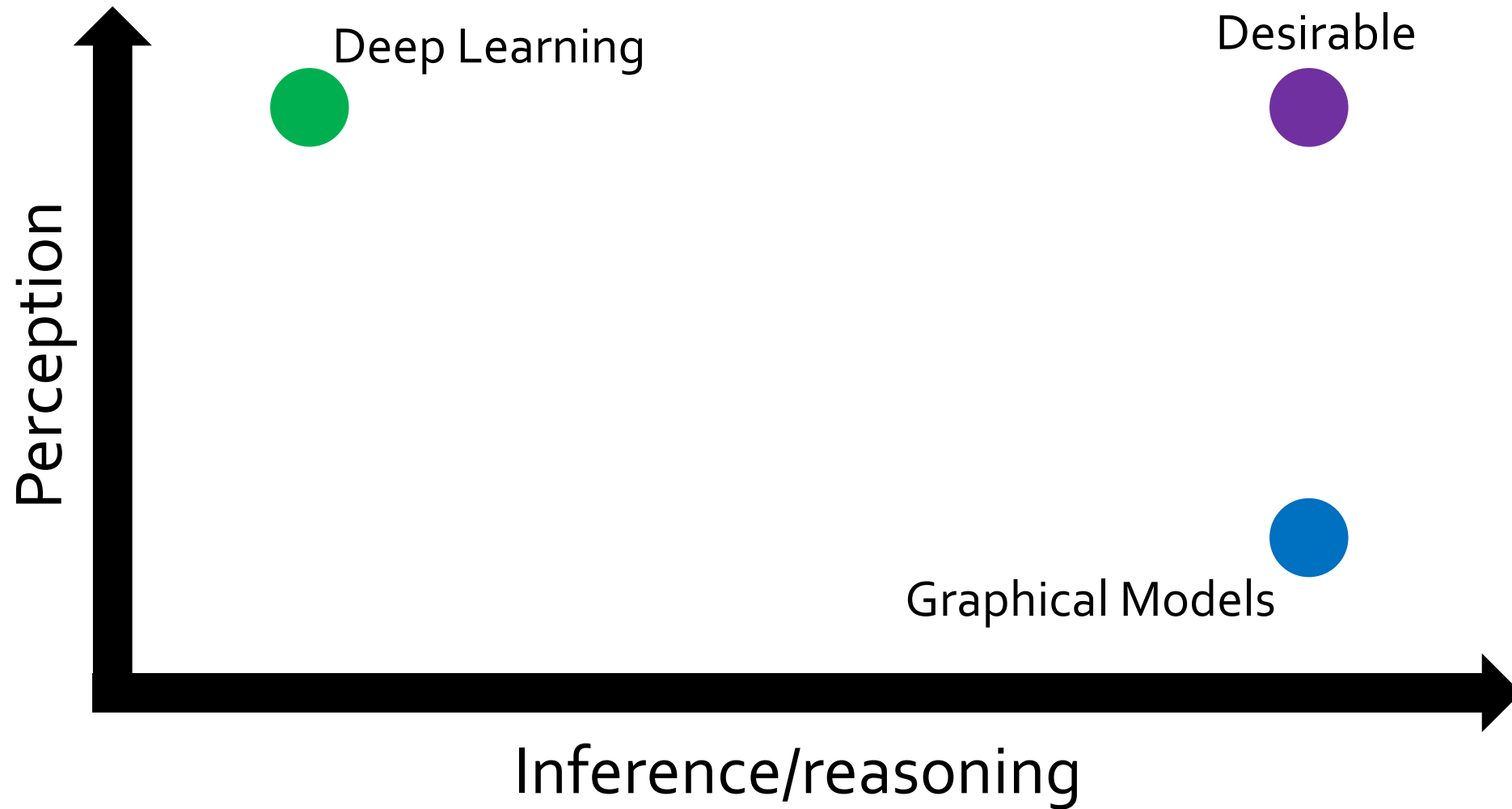
Item j 's latent vector
(embedding)



Rating that user i gives item j

[Probabilistic matrix factorization. SM. *NIPS* 2007]
[Collaborative topic modeling for recommending scientific articles. WB. *KDD* 2011]

Best of Both Worlds?

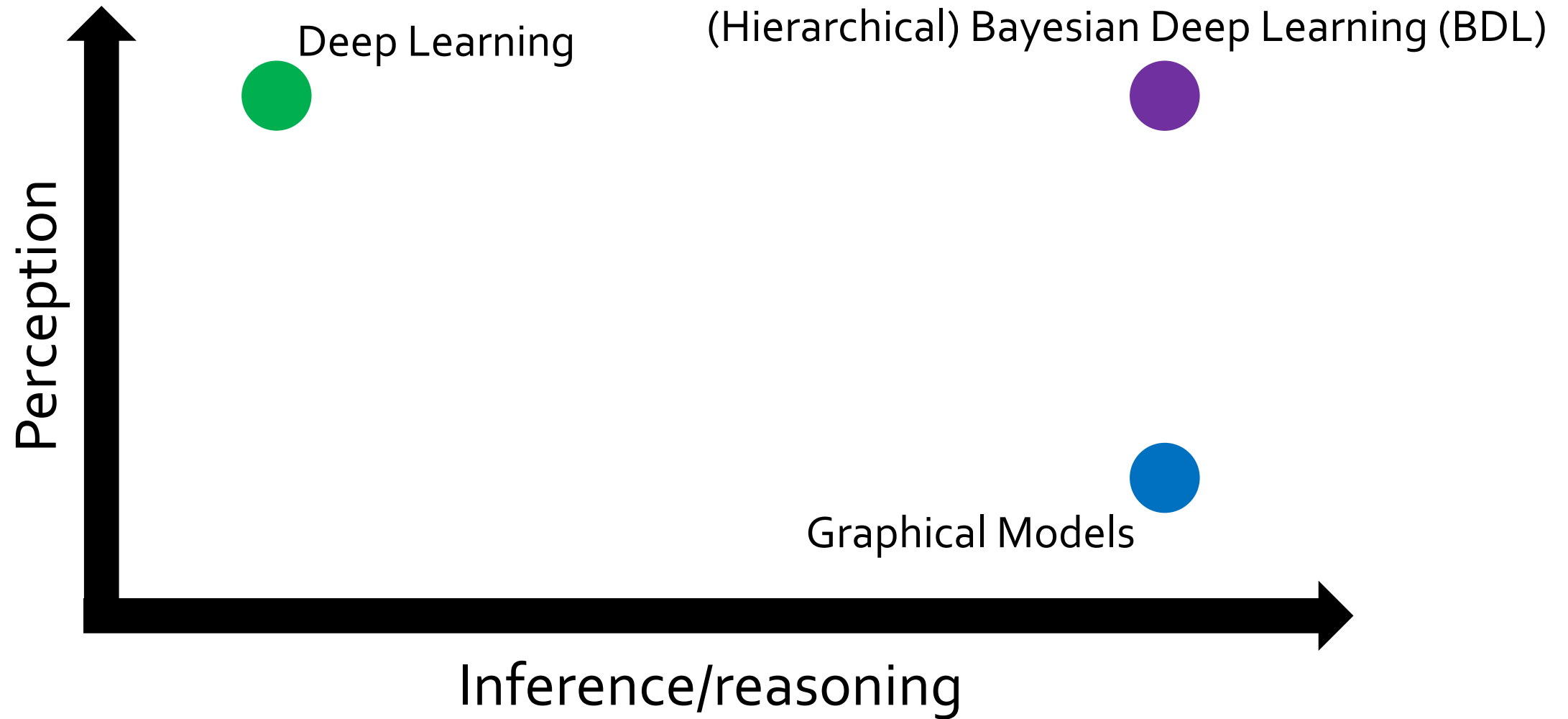


[Collaborative deep learning for recommender systems. **WWY**. *ArXiv* 2014, *KDD* 2015]

[Towards Bayesian deep learning: A framework and some existing methods. **WY**. *TKDE* 2016.]

[A survey on Bayesian deep learning. **WY**. *ACM Computing Surveys* 2020.]

Spring of 2014: (Hierarchical) Bayesian Deep Learning

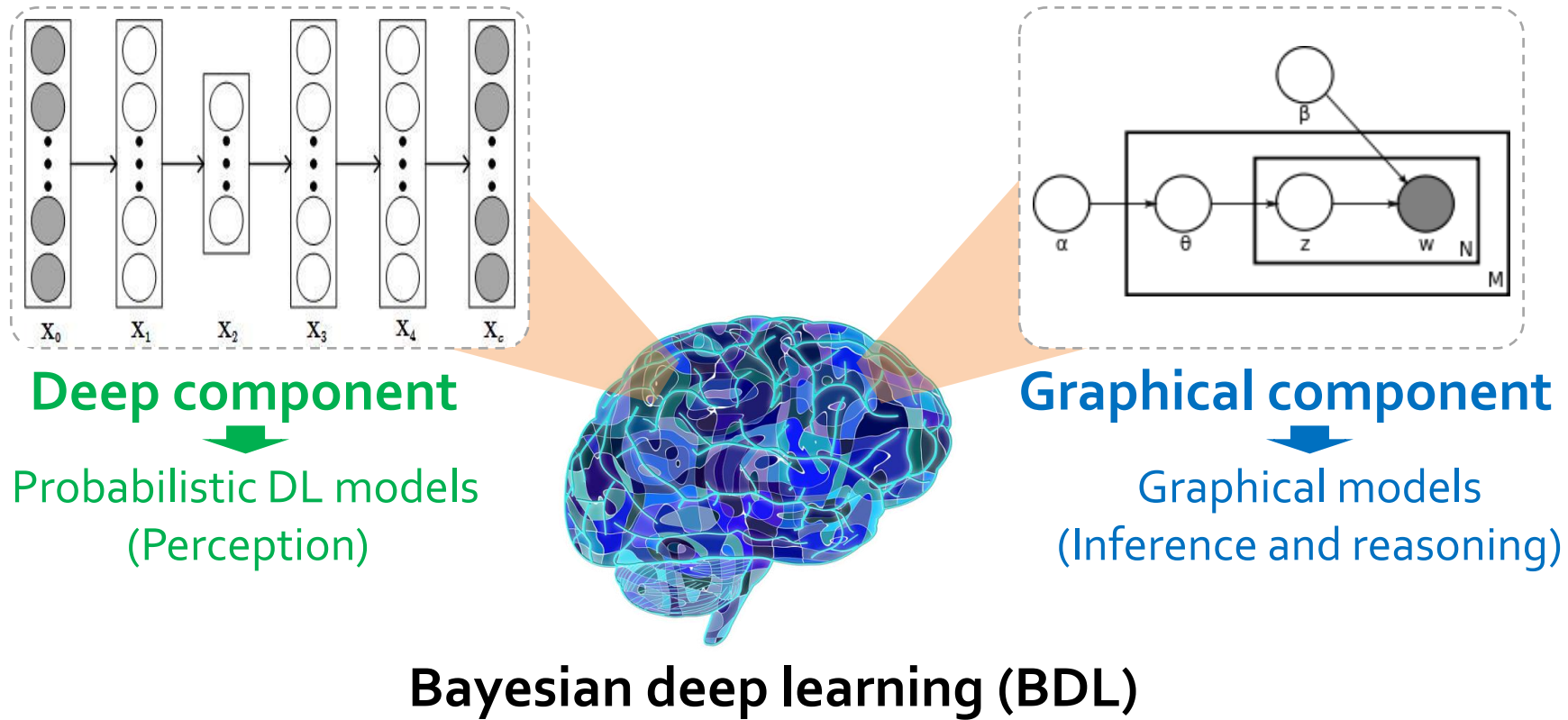


[Collaborative deep learning for recommender systems. **WWY**. *ArXiv* 2014, *KDD* 2015]

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Bayesian Deep Learning (BDL)



[Towards Bayesian deep learning: A framework and some existing methods. **WY**. *TKDE* 2016.]

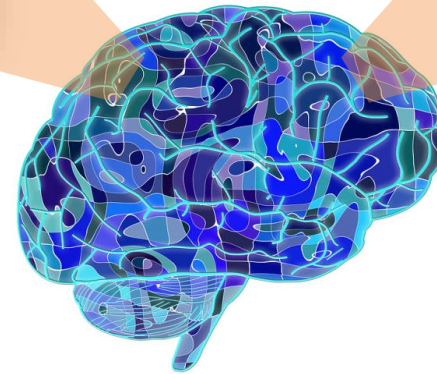
[A survey on Bayesian deep learning. **WY**. *ACM Computing Surveys* 2020.]

Example: Movie Recommender Systems



Deep component

Uses video, plot, actors, etc.
Content understanding



movie \ user					
	1	2	3	4	5
1	✓	?	?	?	?
2	✓	?	?	✓	?
3	?	?	✓	?	?
4	?	✓	?	?	✓
5	✓	?	?	?	?

Graphical component

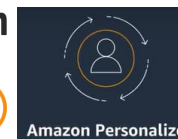
Uses preferences, similarities
Recommendation

Bayesian deep learning (BDL)

[Collaborative deep learning for recommender systems. WWY. *KDD* 2015]

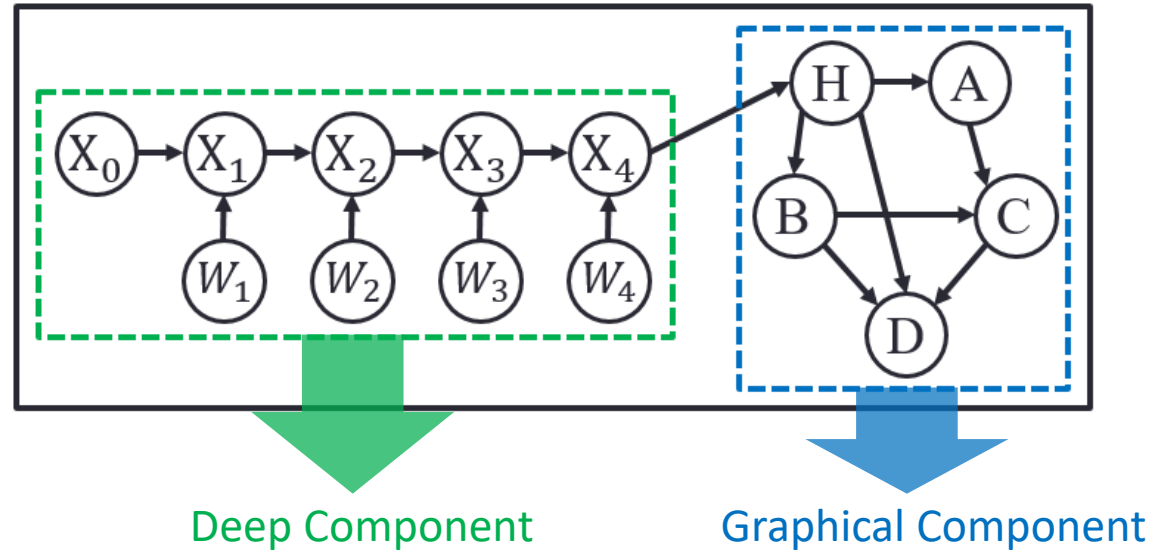
[Collaborative recurrent autoencoder. WSY. *NIPS* 2016a]

[Zero-shot recommender systems. DDWW. *ICLR-W* 2022]

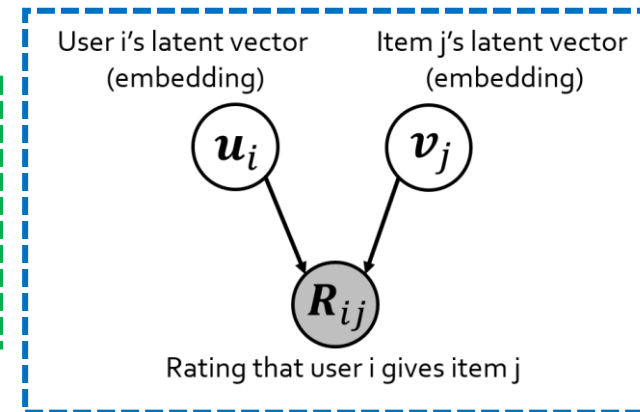
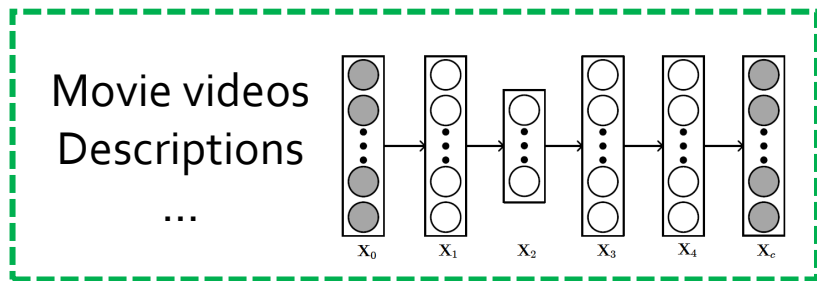


Partially deployed in
"Amazon Personalize"

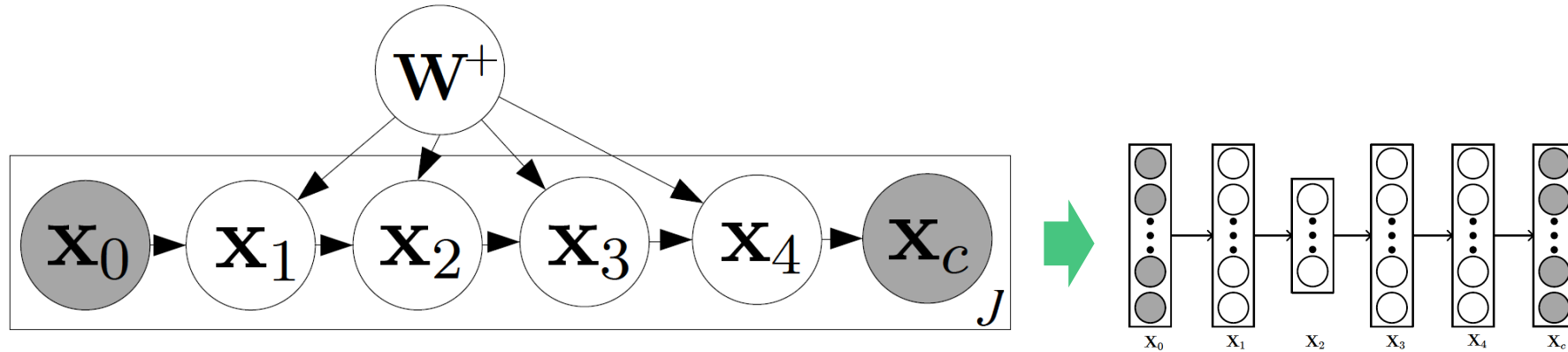
BDL: A Principled Probabilistic Framework



In the context of
Collaborative
Deep Learning
(CDL)



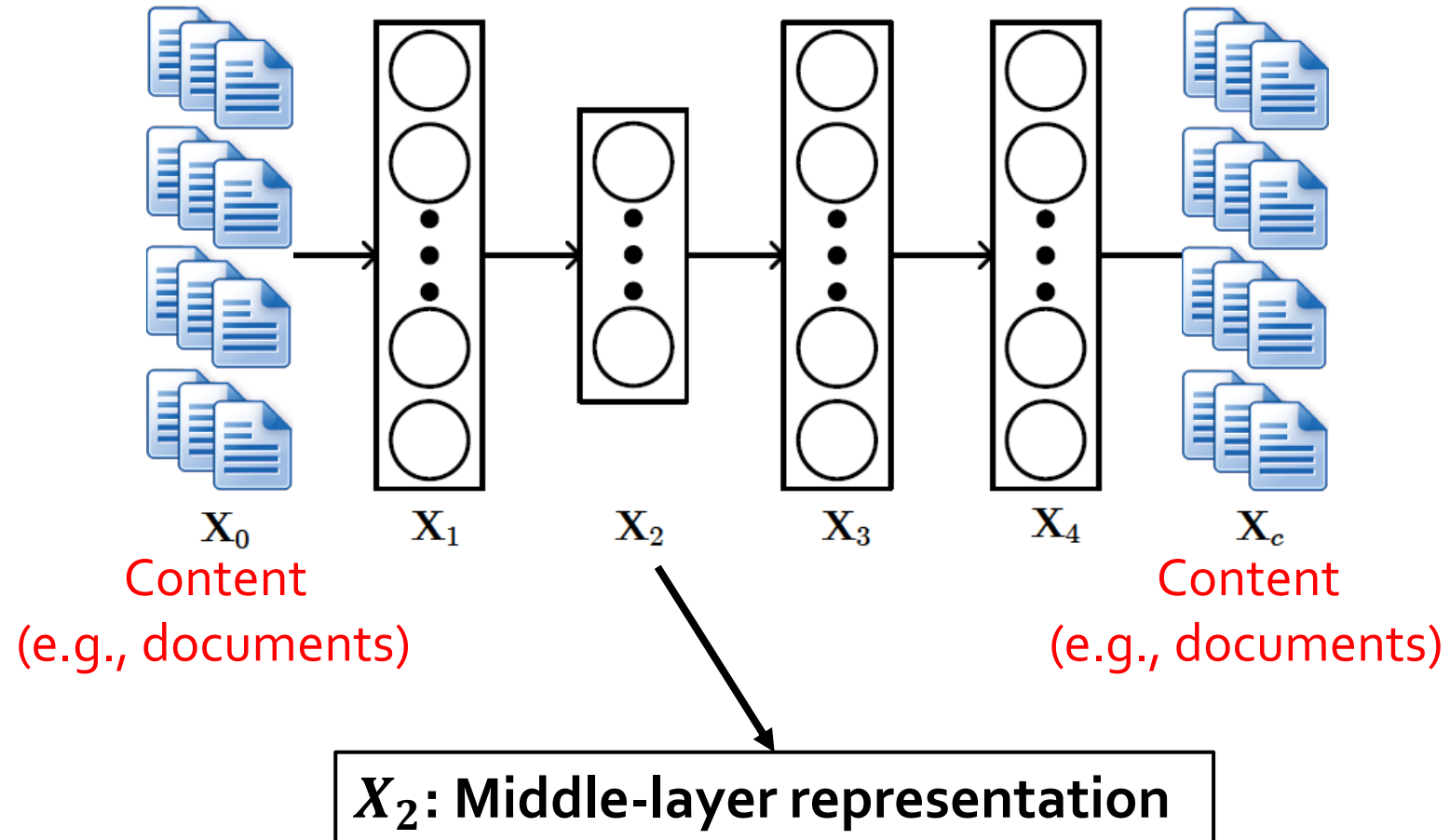
CDL (Step 1 of 4): Start from Middle-Layer Representation



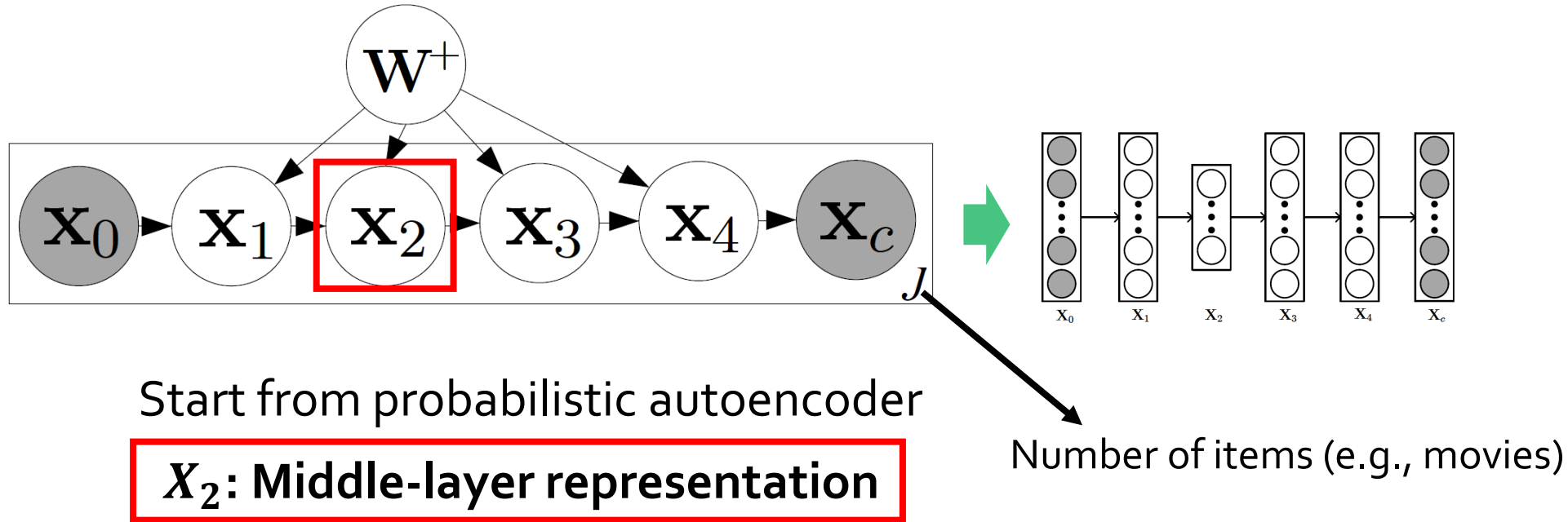
Start from probabilistic autoencoder

X_2 : Middle-layer representation

Autoencoder (AE)

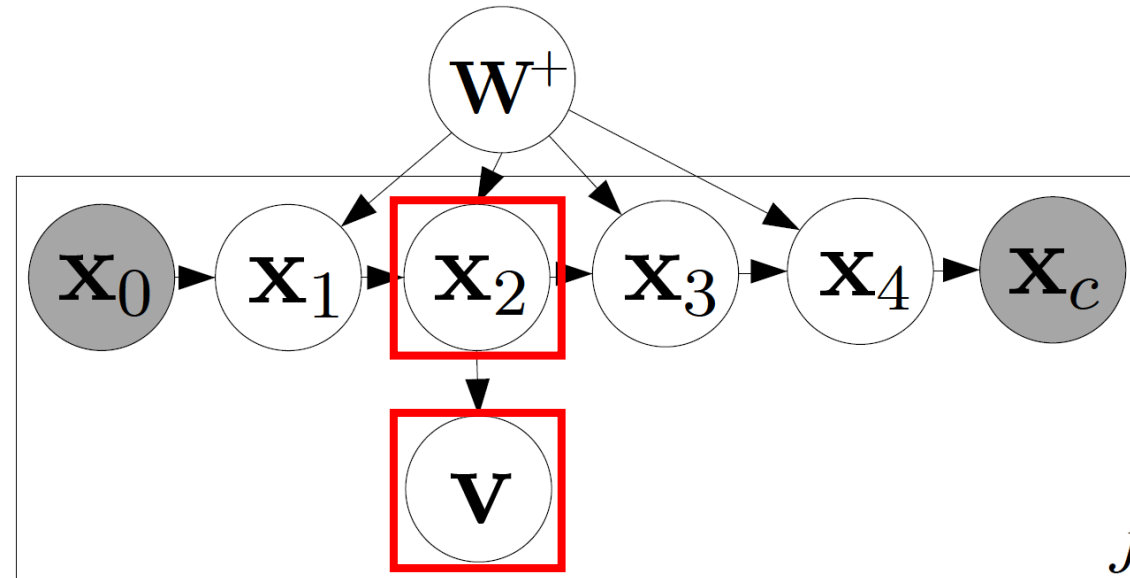


CDL (Step 1 of 4): Start from Middle-Layer Representation



- Observed variables (**given**)
- Latent variables & parameters **to learn**

CDL (Step 2 of 4): Generate Item j 's Latent Vector \mathbf{v}_j



Generate the **latent vector for item j** from \mathbf{X}_2 :

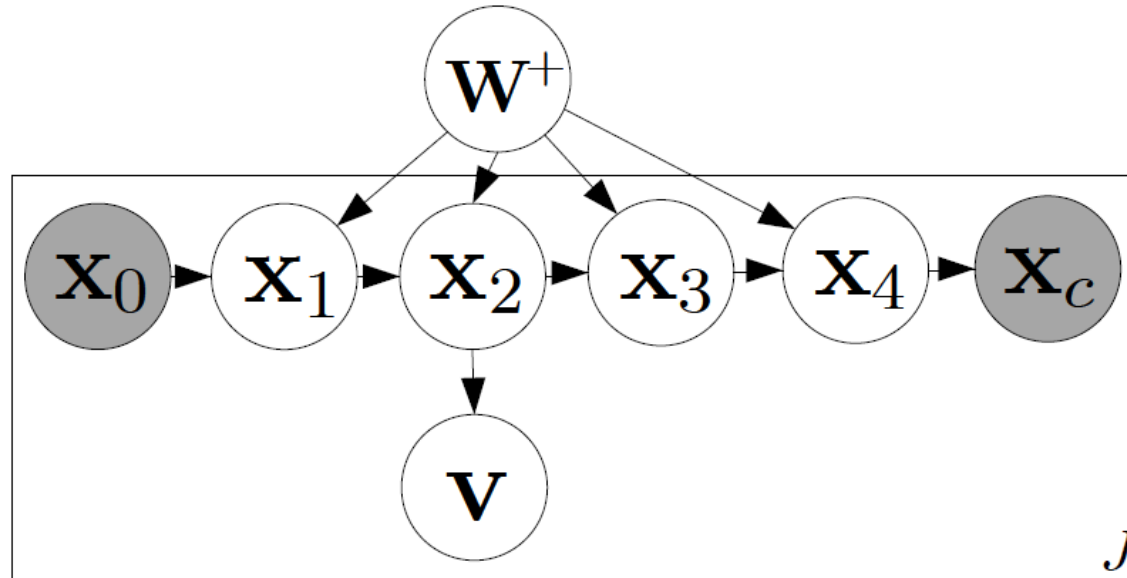
$$\underline{\mathbf{v}_j} \sim \mathcal{N}(\mathbf{X}_2, \lambda_v^{-1} \mathbf{I})$$

More relevant to
recommendation



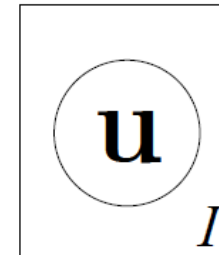
\mathbf{X}_2 : Item embedding based only on **content** (e.g., movie descriptions)
 \mathbf{v}_j : Item embedding based on both **content** and **user preferences**

CDL (Step 3 of 4): Generate User i 's Latent Vector \mathbf{u}_i

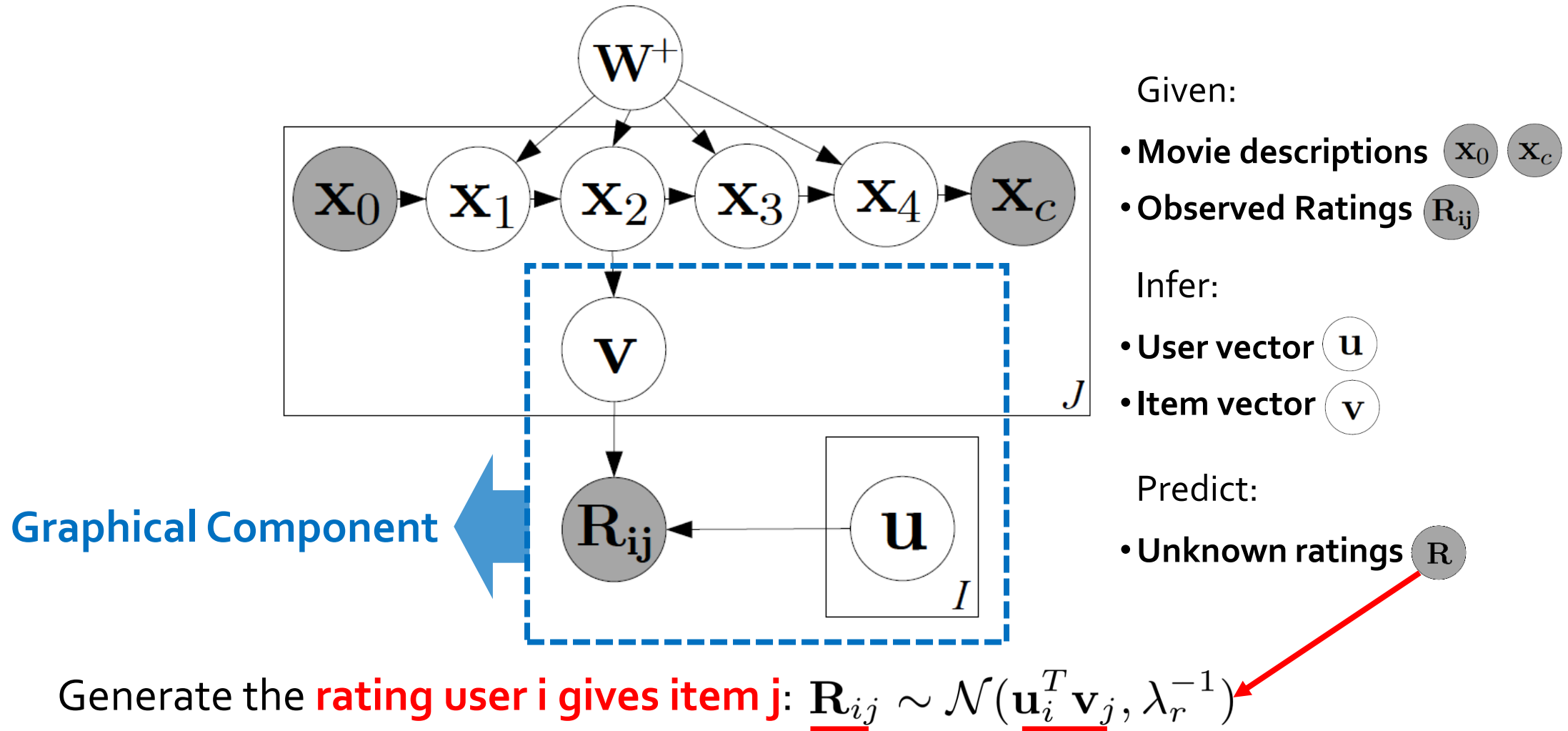


Generate the **latent vector for user i** :

$$\underline{\mathbf{u}}_i \sim \mathcal{N}(\mathbf{0}, \lambda_u^{-1} \mathbf{I})$$

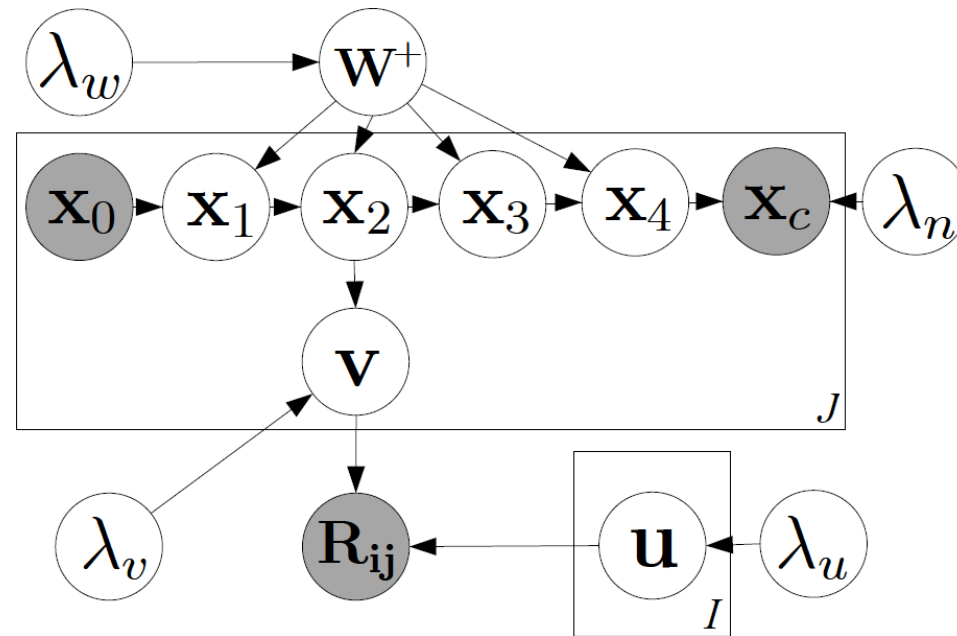


CDL (Step 4 of 4): Generate Ratings R_{ij} from $\mathbf{u}_i^T \mathbf{v}_j$



Overview: Collaborative Deep Learning (CDL)

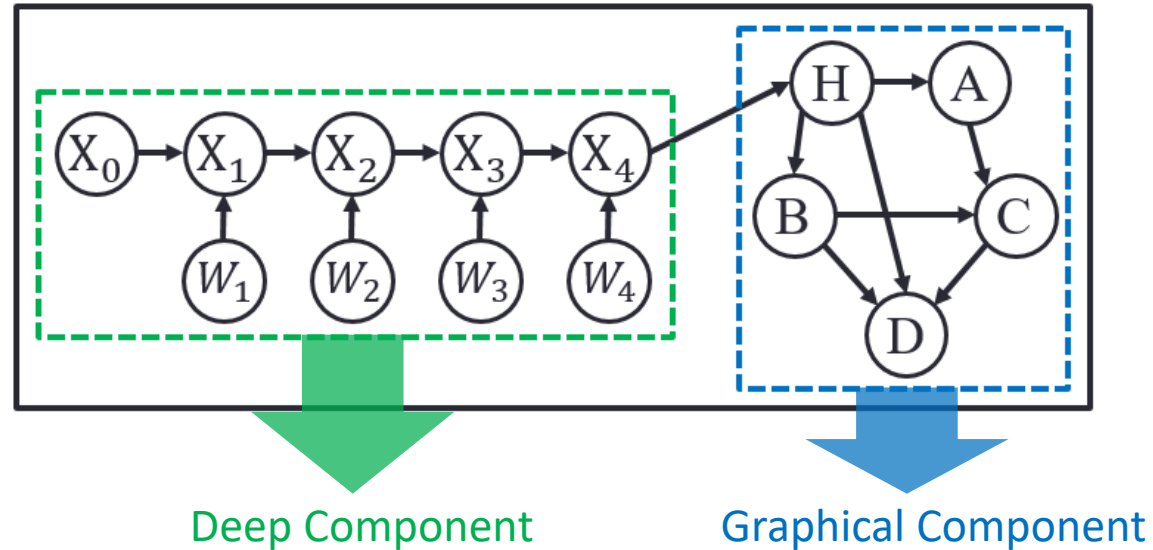
Graphical model:



$\lambda_w, \lambda_n, \lambda_v, \lambda_u$:

hyperparameters to control the **variance** of Gaussian distributions

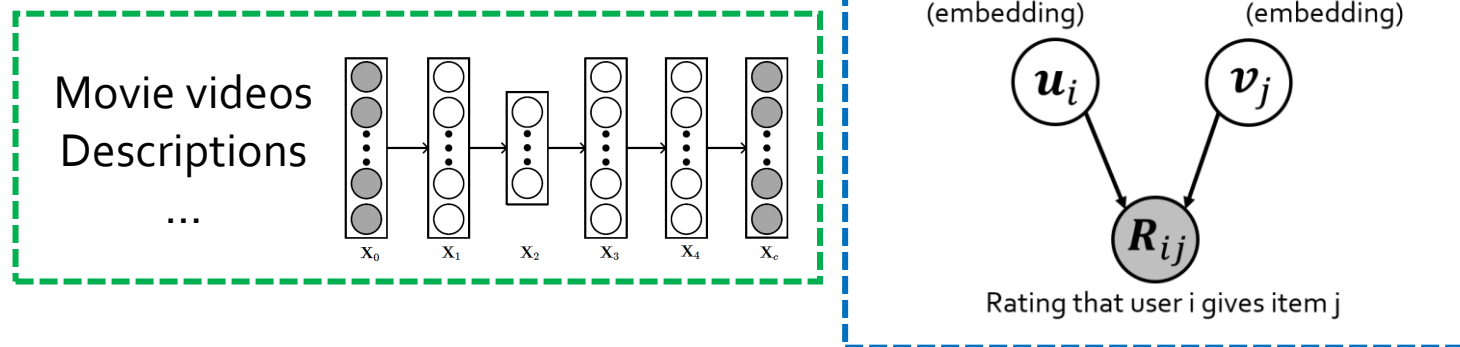
BDL: A Principled Probabilistic Framework (Recap)



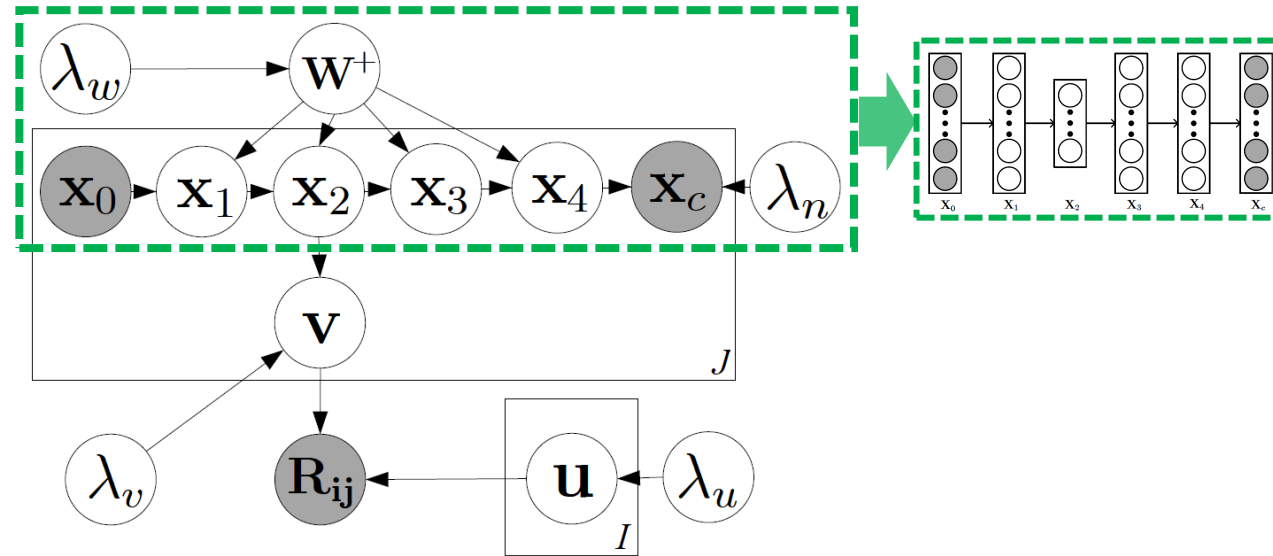
Deep Component

Graphical Component

In the context of
CDL

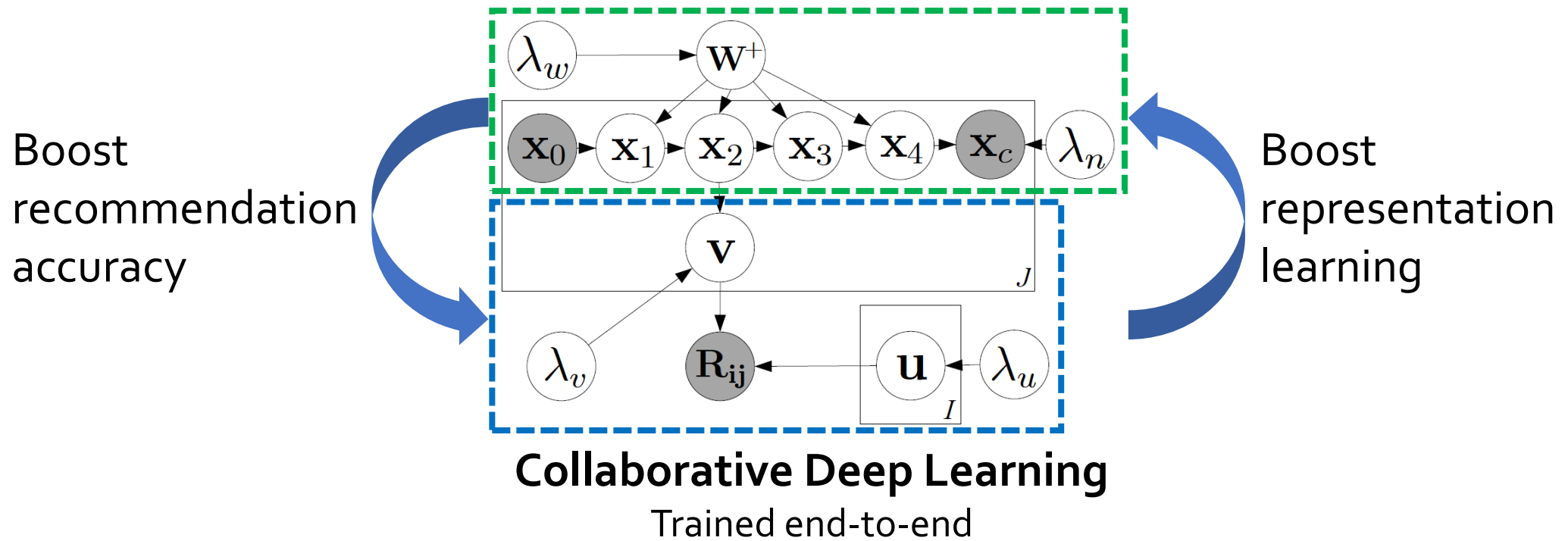


Graphical Model of CDL with Two Components



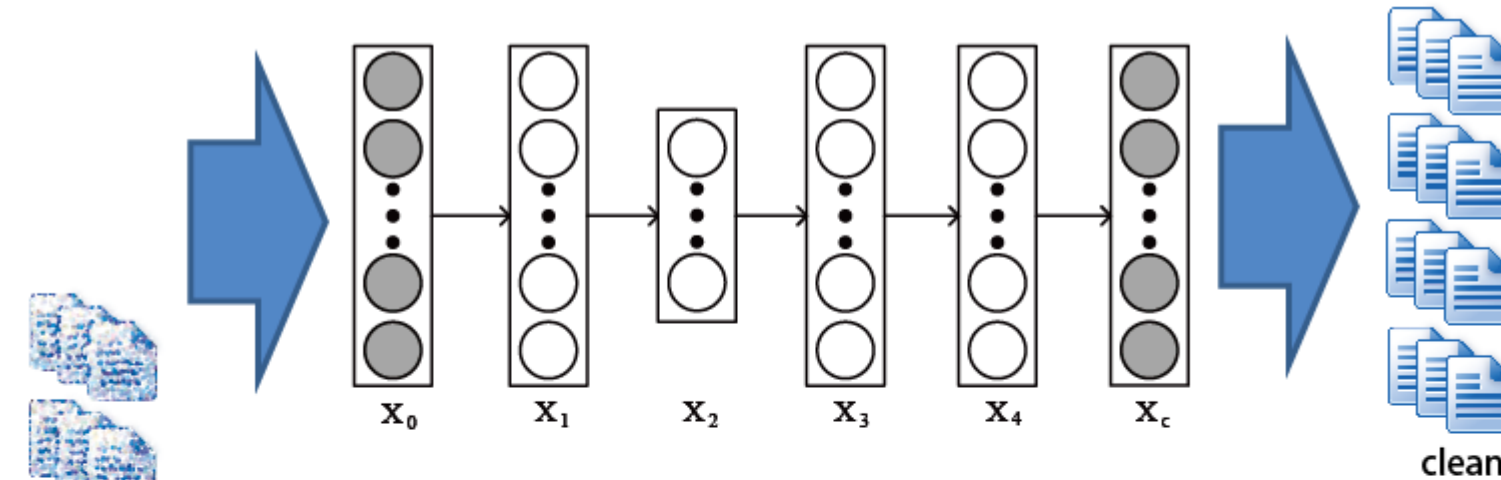
Collaborative Deep Learning

Graphical Model of CDL with Two Components

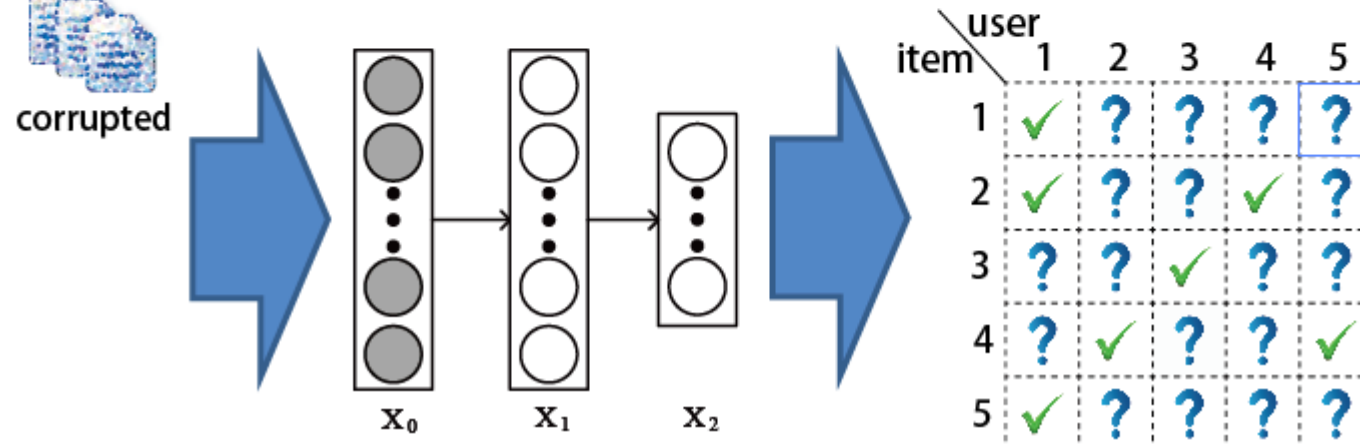


- **Boost each other's performance**
- More powerful representation
- Infer missing ratings from content
- Infer missing content from ratings

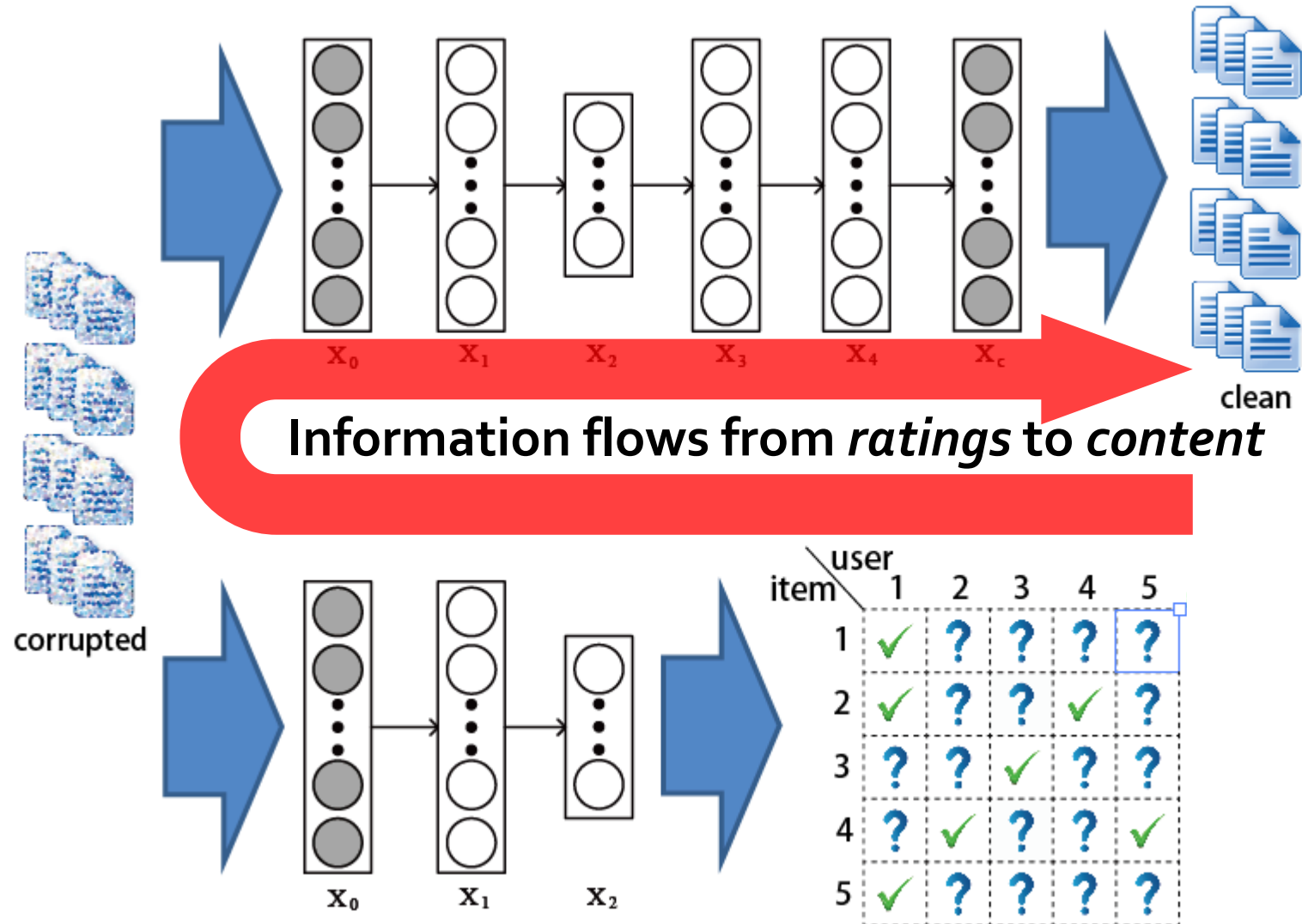
Collaborative Deep Learning (CDL)



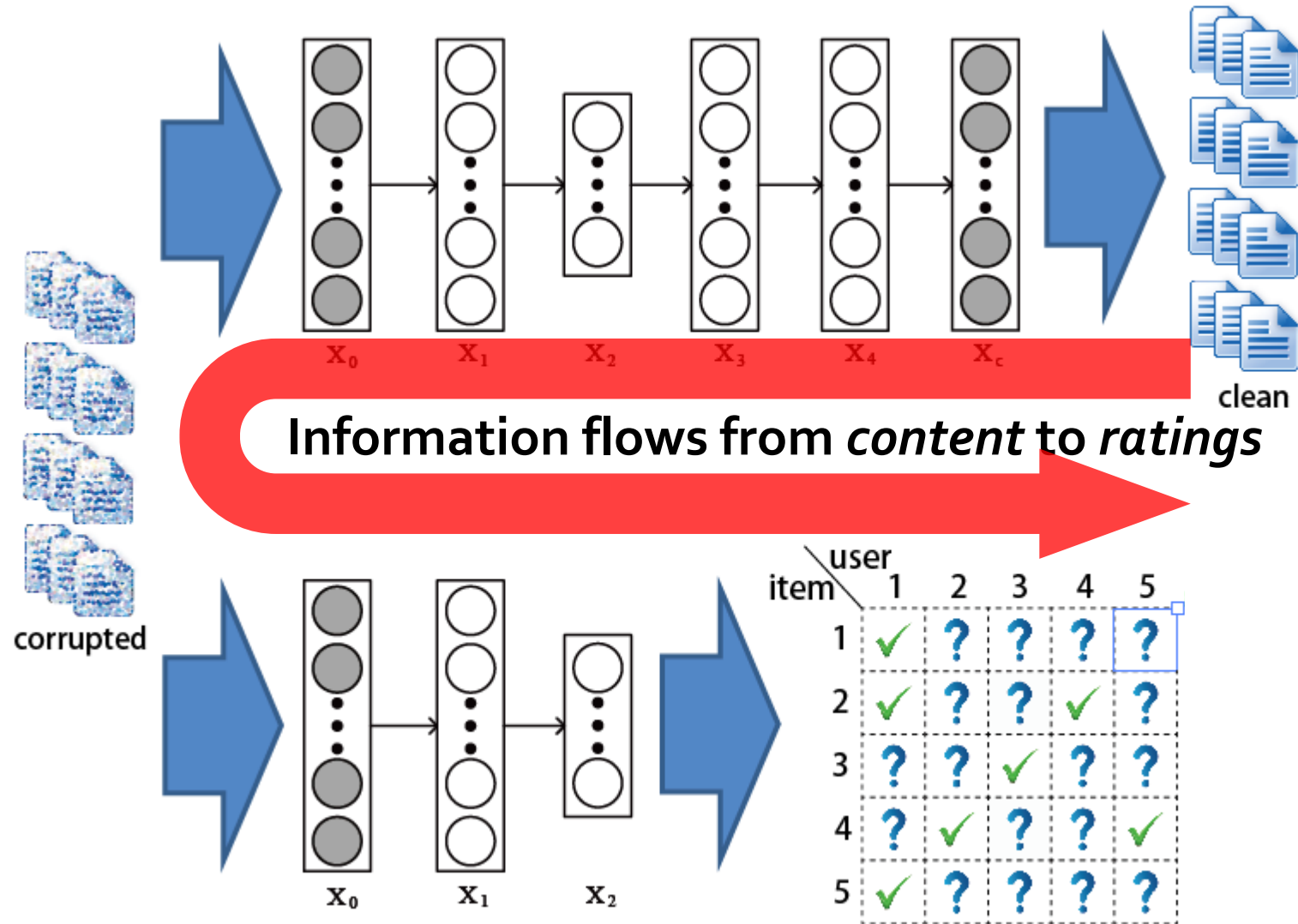
Neural network perspective for **simplified** CDL



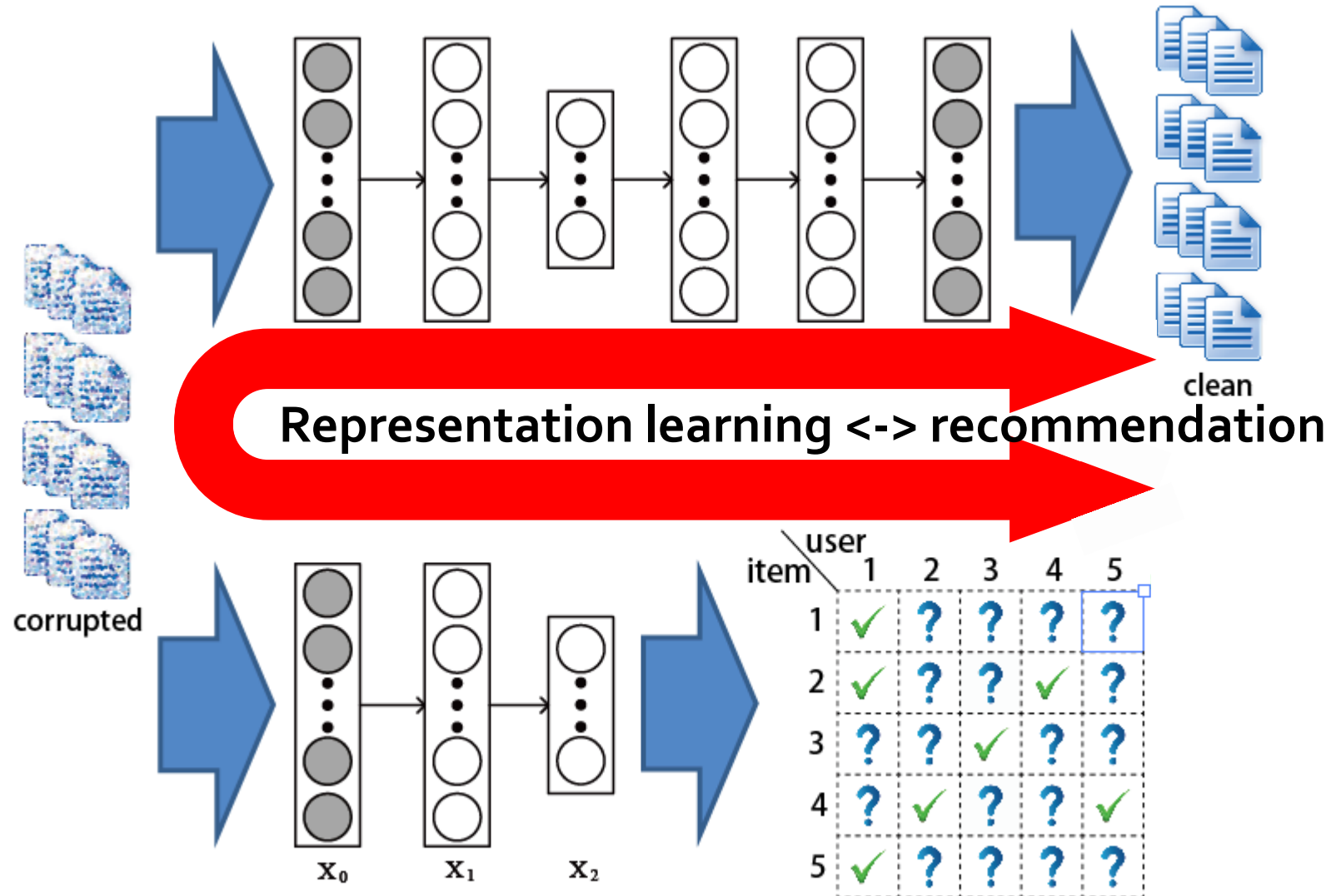
Collaborative Deep Learning (CDL)



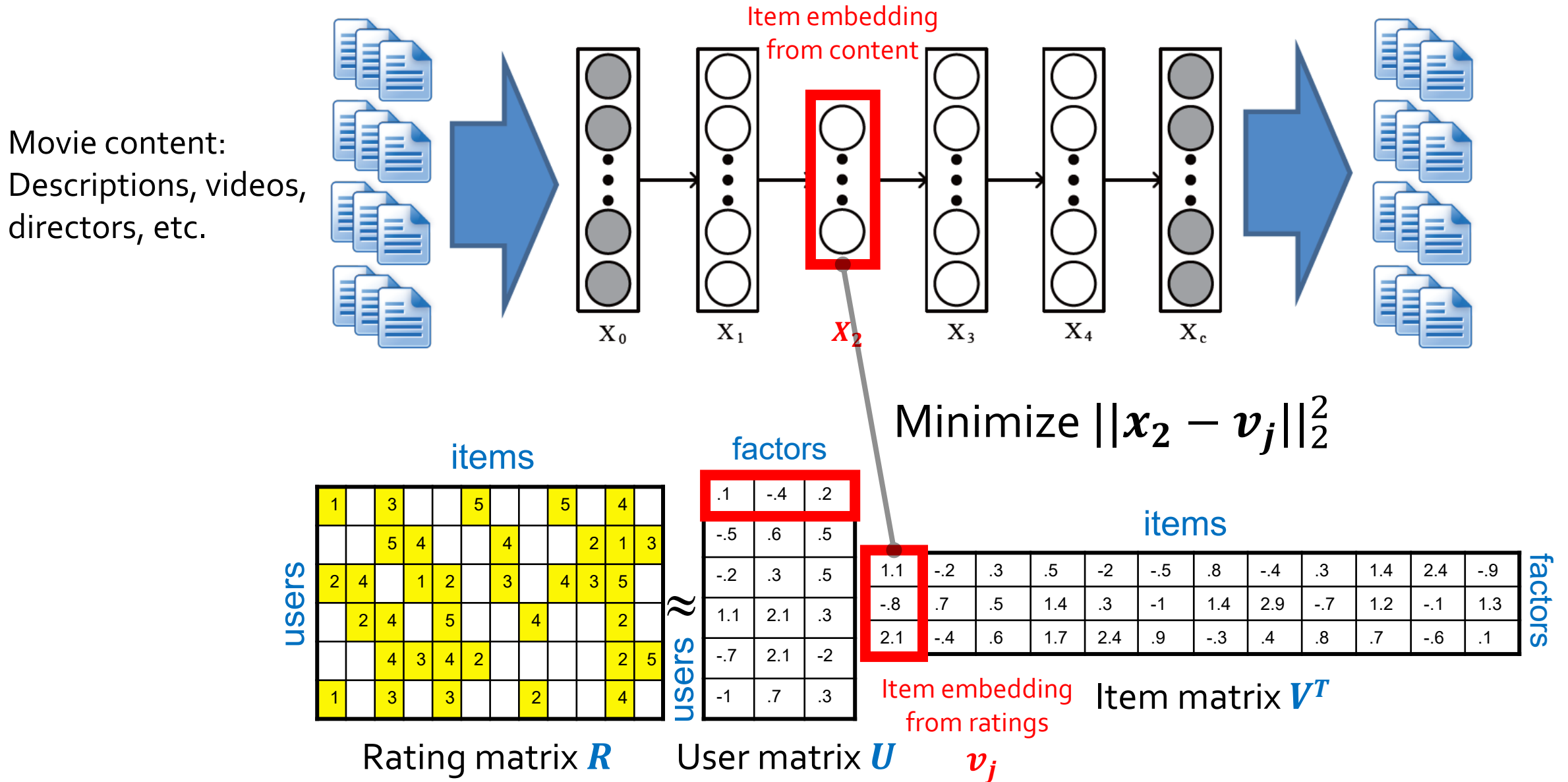
Collaborative Deep Learning (CDL)



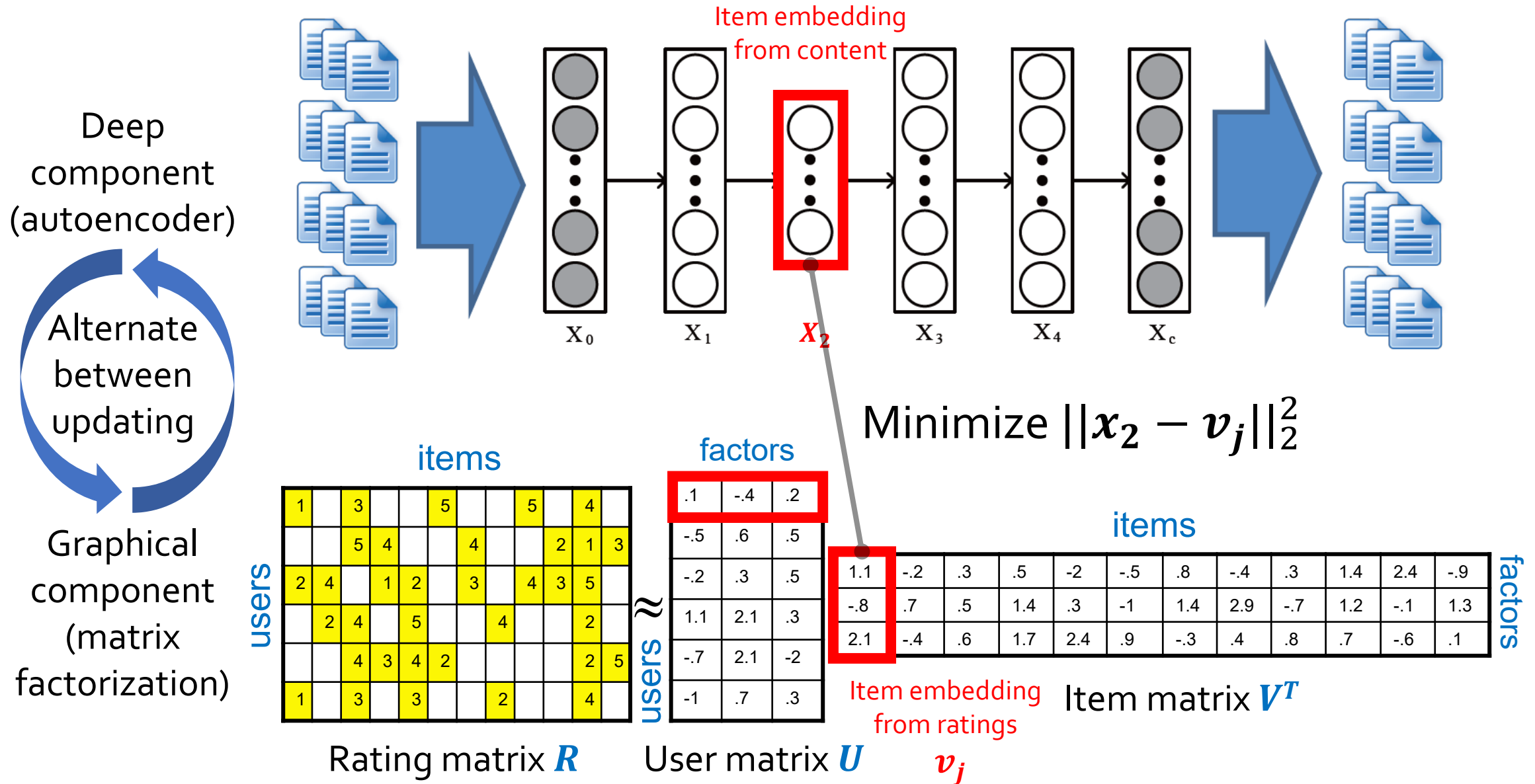
Collaborative Deep Learning (CDL)



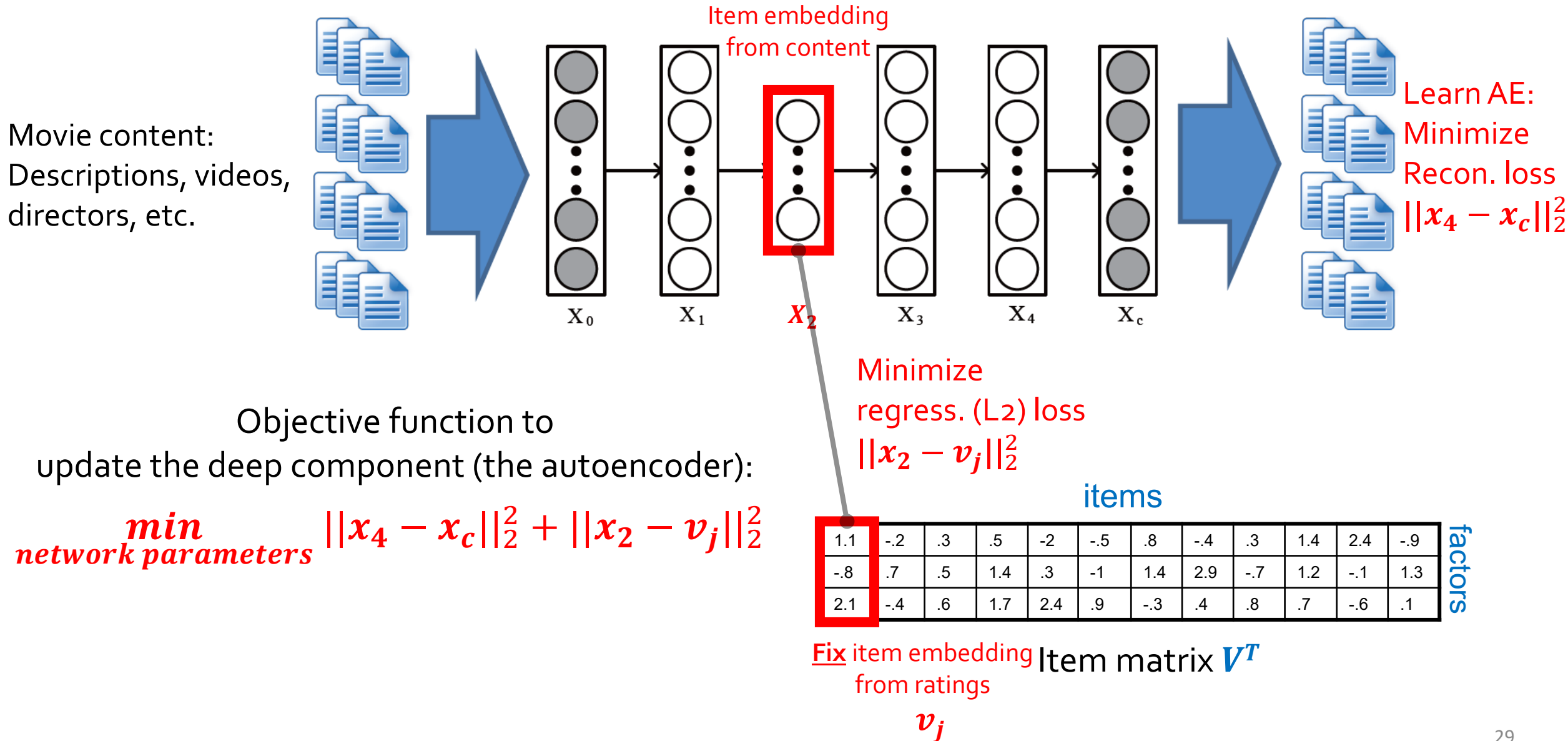
Collaborative Deep Learning (Simplified)



Collaborative Deep Learning (CDL): Alternate Updates



CDL: Updating the Deep Component (Autoencoder)



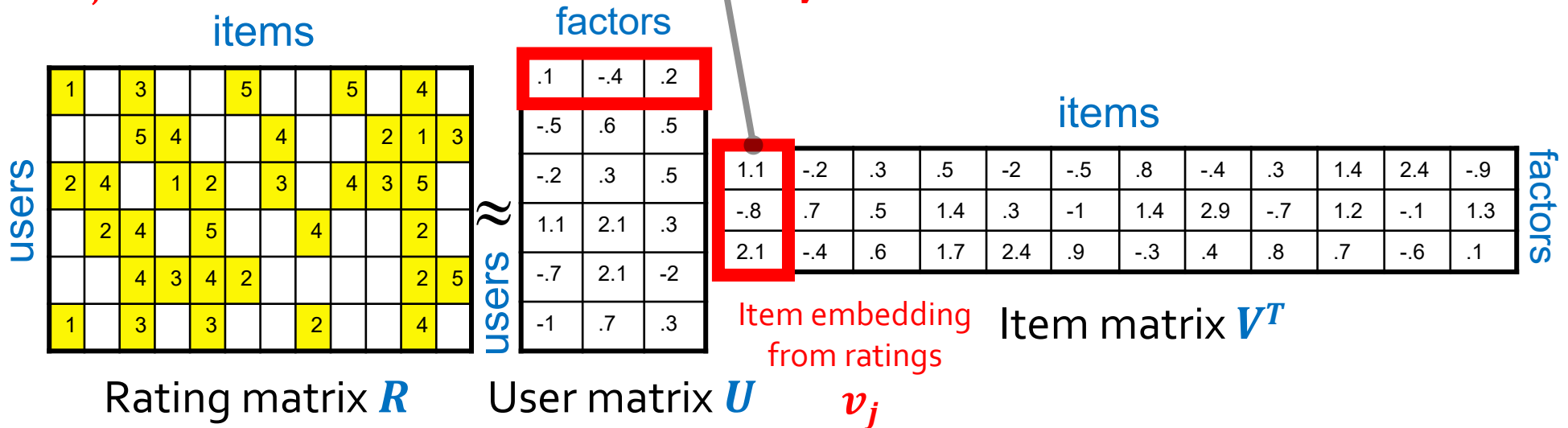
CDL: Updating the Graphical Component (Matrix Factorization)

Update the graphical component
(regularized matrix factorization):

$$\min_{U,V} ||R - UV^T||_2^2 + \sum_j ||x_2 - v_j||_2^2$$

$$\min_{U,V} ||R - UV^T||_2^2$$

$$\min_V ||x_2 - v_j||_2^2$$



Experimental Setup

	citeulike-a	citeulike-t	Netflix
#users	5551	7947	407261
#items	16980	25975	9228
#ratings	204987	134860	15348808

Content
information

Collaborative Deep Learning for Recommender Systems

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Titles and
abstracts

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Titles and
abstracts

Fantastic Four (2015)

PG-13 | 106 min | Action, Adventure, Sci-Fi | 7 August 2015 (USA)

Not yet released
(voting begins after release)

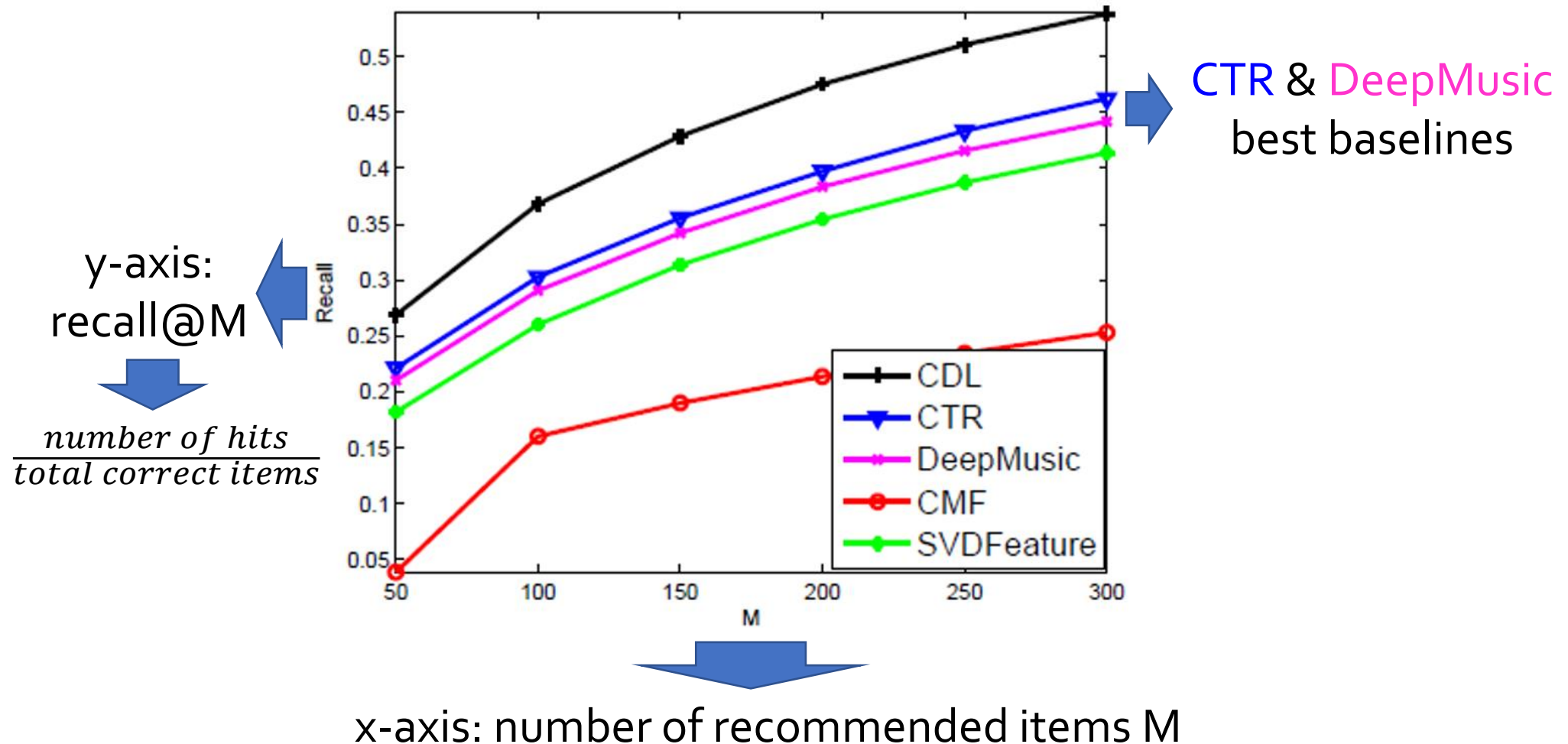
Four young outsiders teleport to an alternate and dangerous universe which alters their physical form in shocking ways. The four must learn to harness their new abilities and work together to save Earth from a former friend turned enemy.

Movie
descriptions

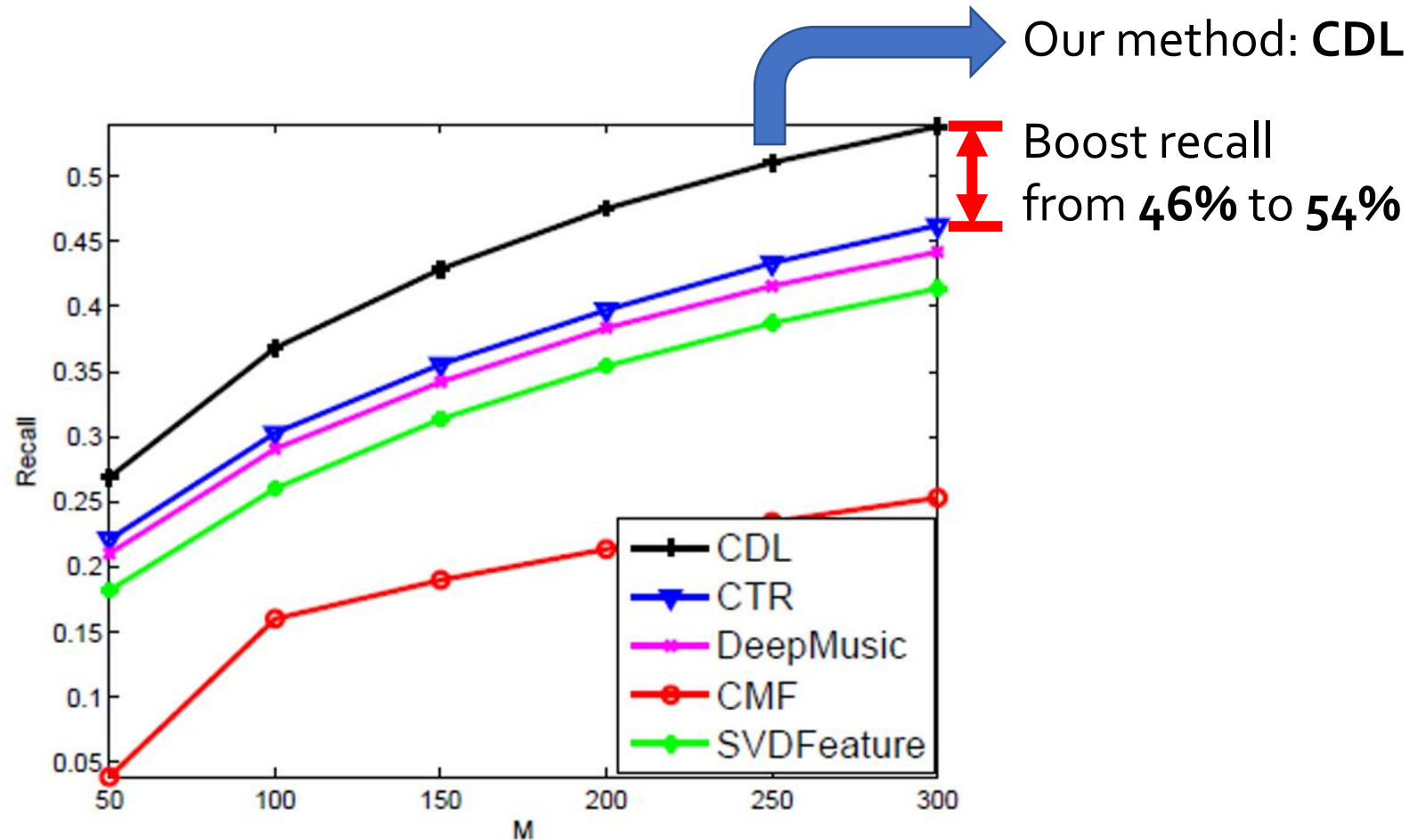
[Collaborative topic modeling for recommending scientific articles. WB. *KDD* 2011]

[Collaborative topic regression with social regularization for tag recommendation. WCL. *IJCAI* 2013]

Empirical Results: Recall@M in *citeulike-t*

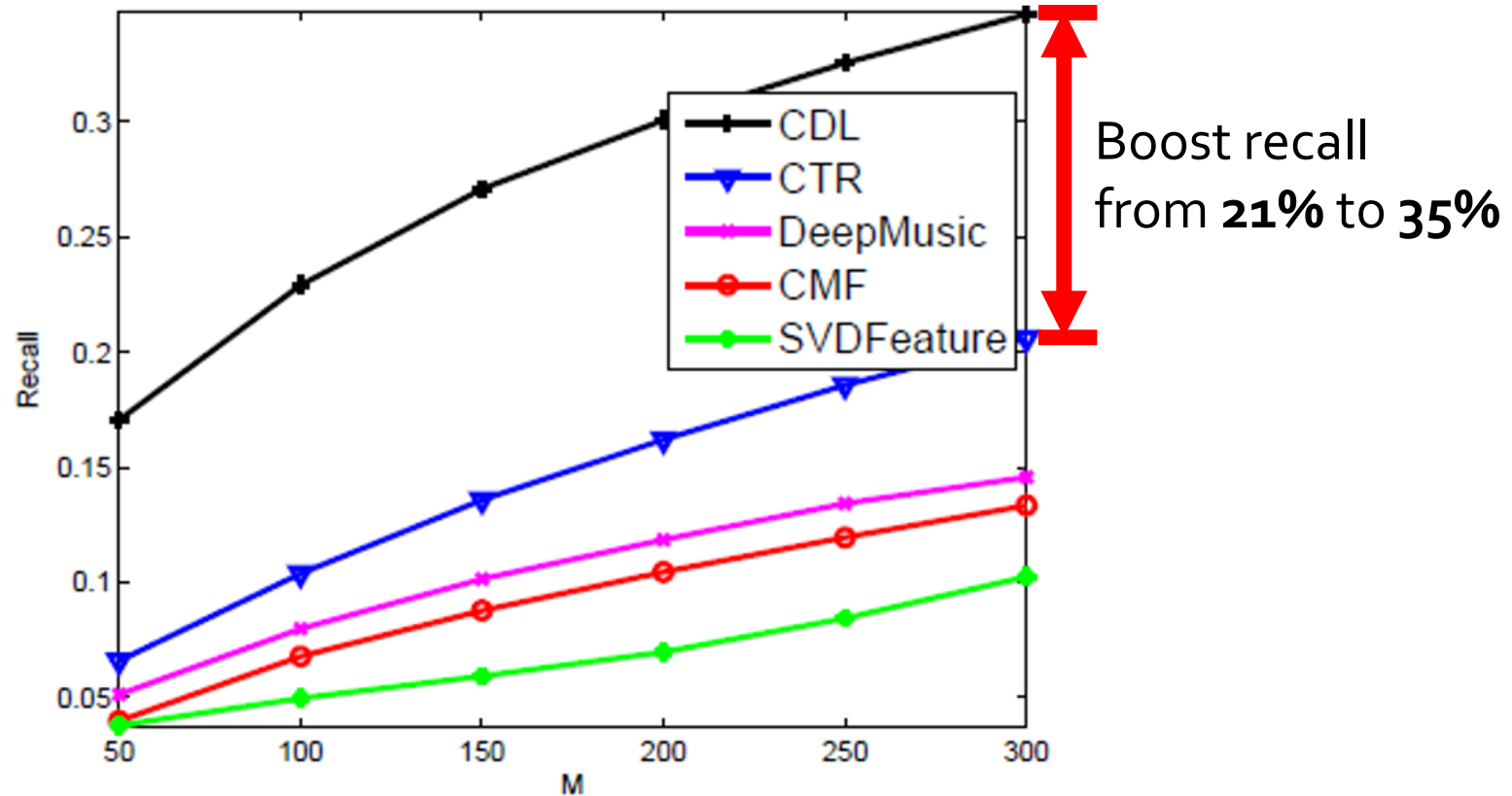


Empirical Results: Recall@M in *citeulike-t*



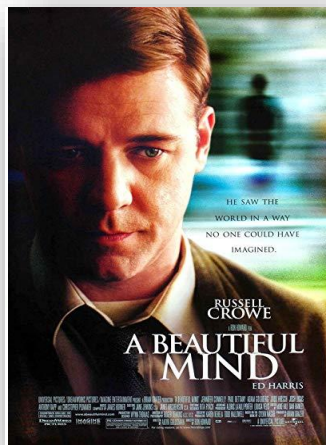
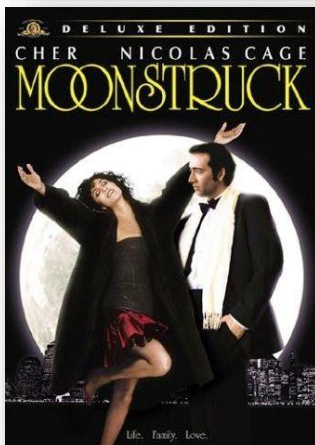
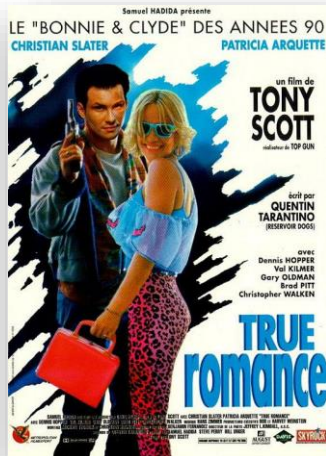
8% absolute improvement

Empirical Results: Recall@M in *citeulike-t* (Sparse Ratings)



14% absolute improvement

CDL for Sparse Ratings



Content information:
Plots, directors, actors, etc.

		user				
movie		1	2	3	4	5
	1	✓	?	?	?	?
	2	✓	?	?	✓	?
	3	?	?	✓	?	?
	4	?	✓	?	?	✓
	5	✓	?	?	?	?

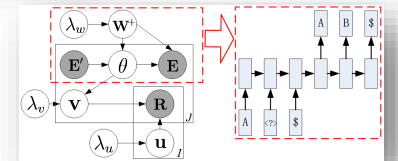
Sparse rating matrix

Follow Up: NeurIPS 2016 Paper

Collaborative Recurrent Autoencoder: Recommend while Learning to Fill in the Blanks

Hao Wang, Xingjian Shi, Dit-Yan Yeung
Hong Kong University of Science and Technology
{hwangaz, xshiab, dyyeung}@cse.ust.hk

- **Fully generative model:** Jointly performs *recommendation* and masked autoregressive text *generation*
- Replace fully connected layers with recurrent neural nets
- **Attention mechanism:** Aggregate *variable*-length text into *fixed*-length embeddings



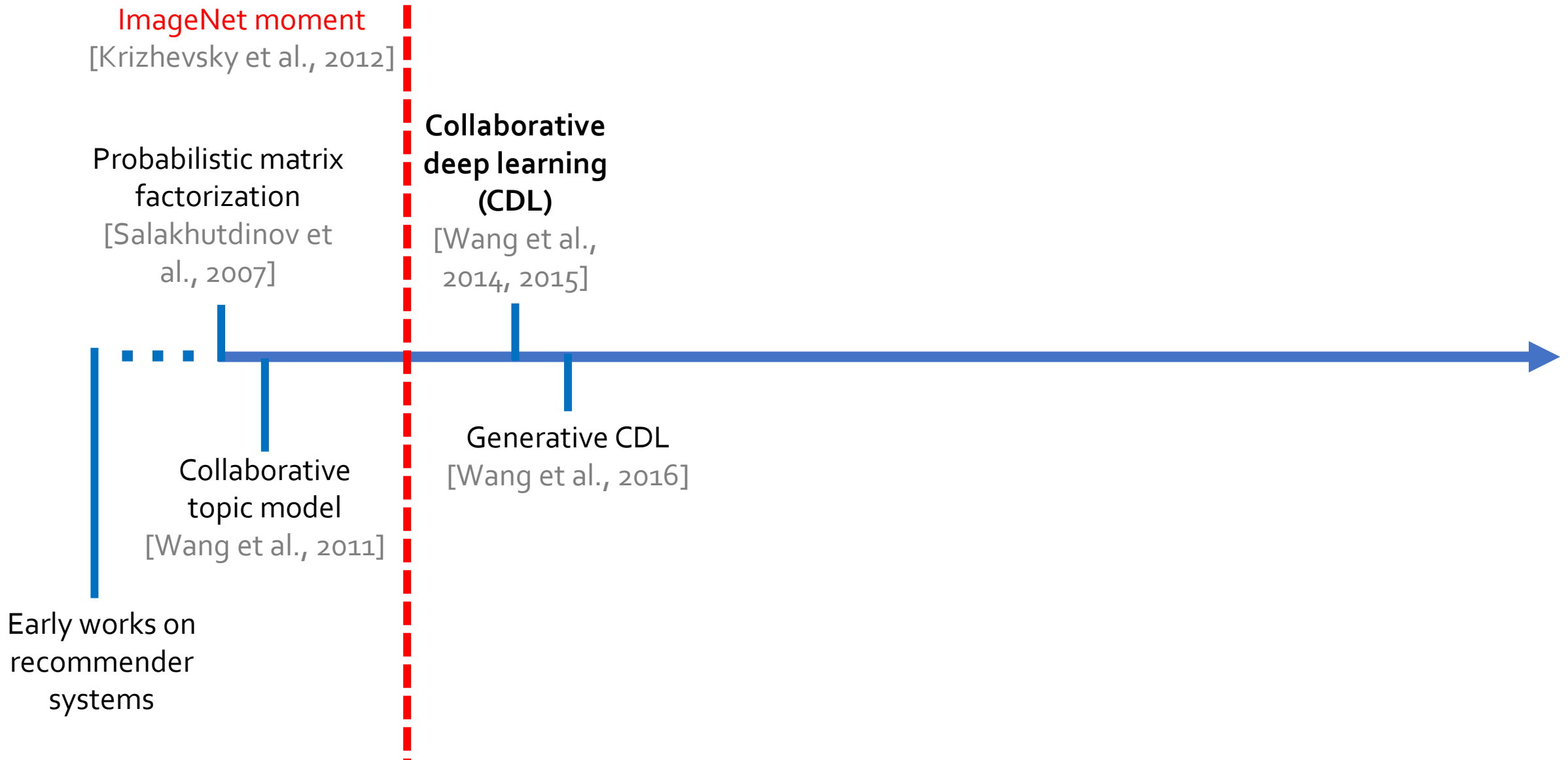
[Wang et al. *NeurIPS* 2016]

BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding

Jacob Devlin Ming-Wei Chang Kenton Lee Kristina Toutanova
Google AI Language
{jacobdevlin, mingweichang, kentonl, kristout}@google.com

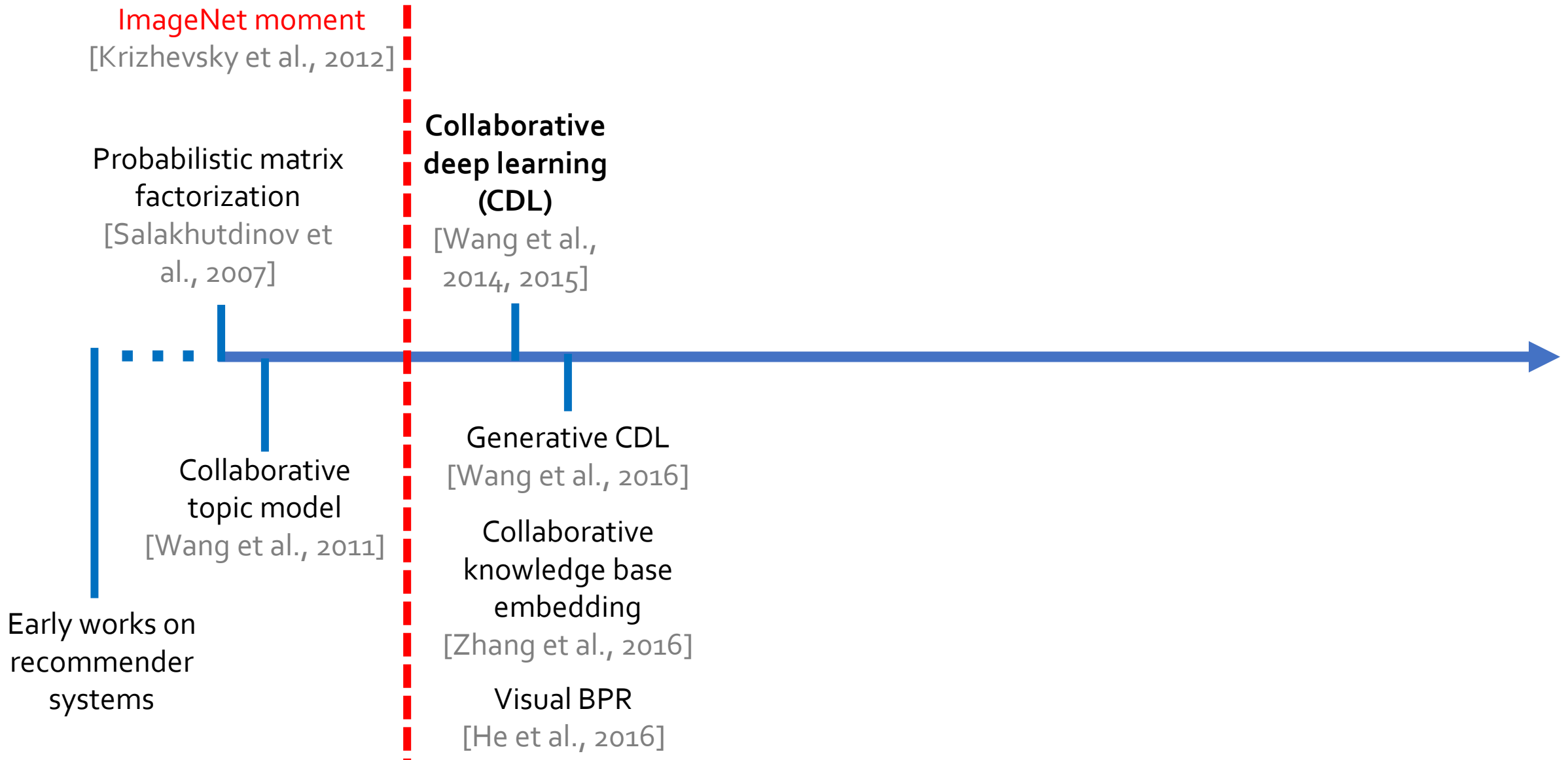
BERT [Devlin et al. *ACL* 2019]

Timeline: (Deep) Recommender Systems

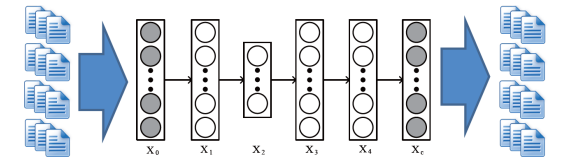
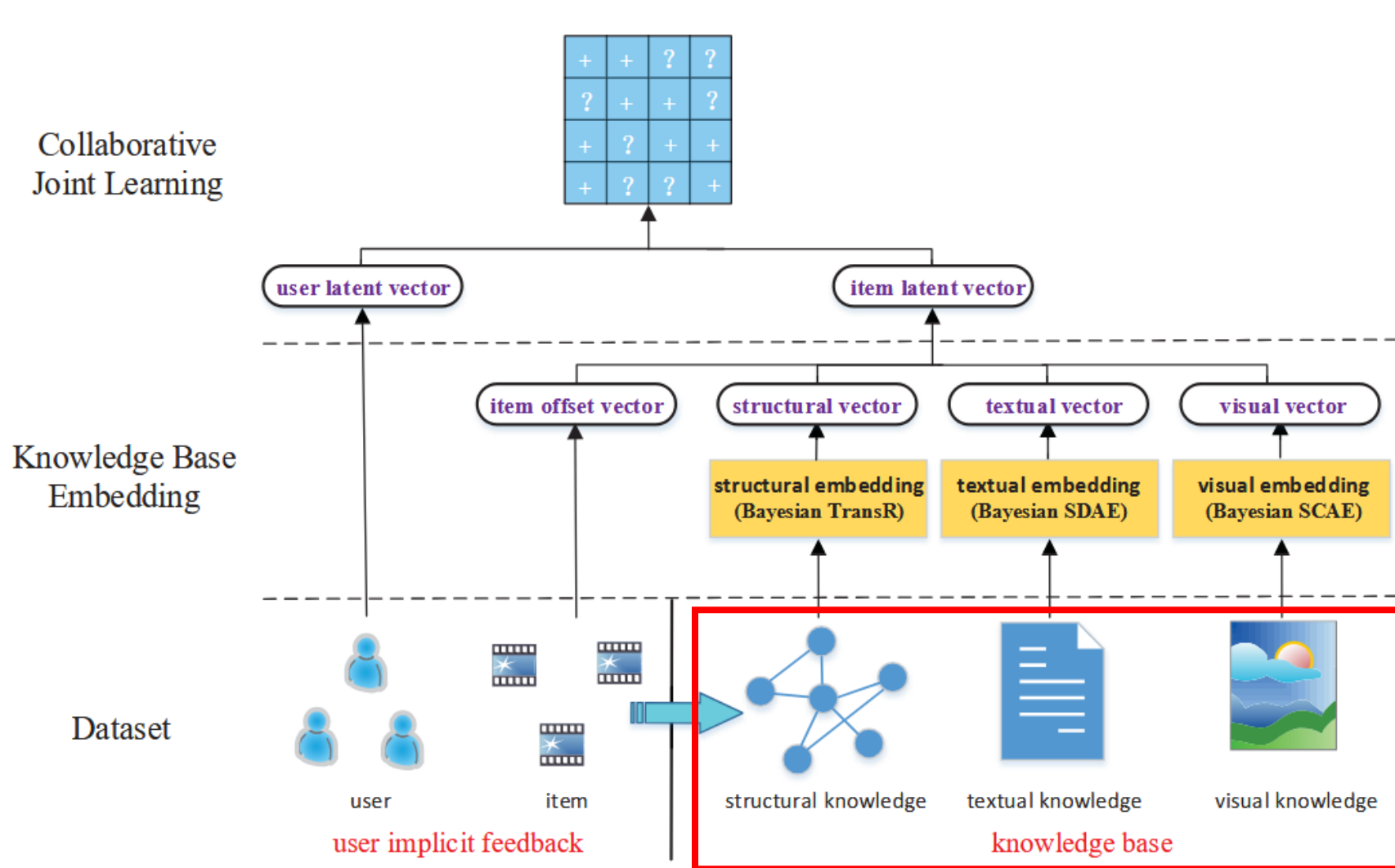


What Happened Since?

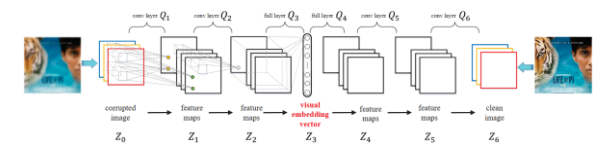
Timeline after CDL: Deep Recommender Systems



Collaborative Knowledge Base Embedding (KDD 2016)



Bayesian autoencoder in CDL
to process **text**

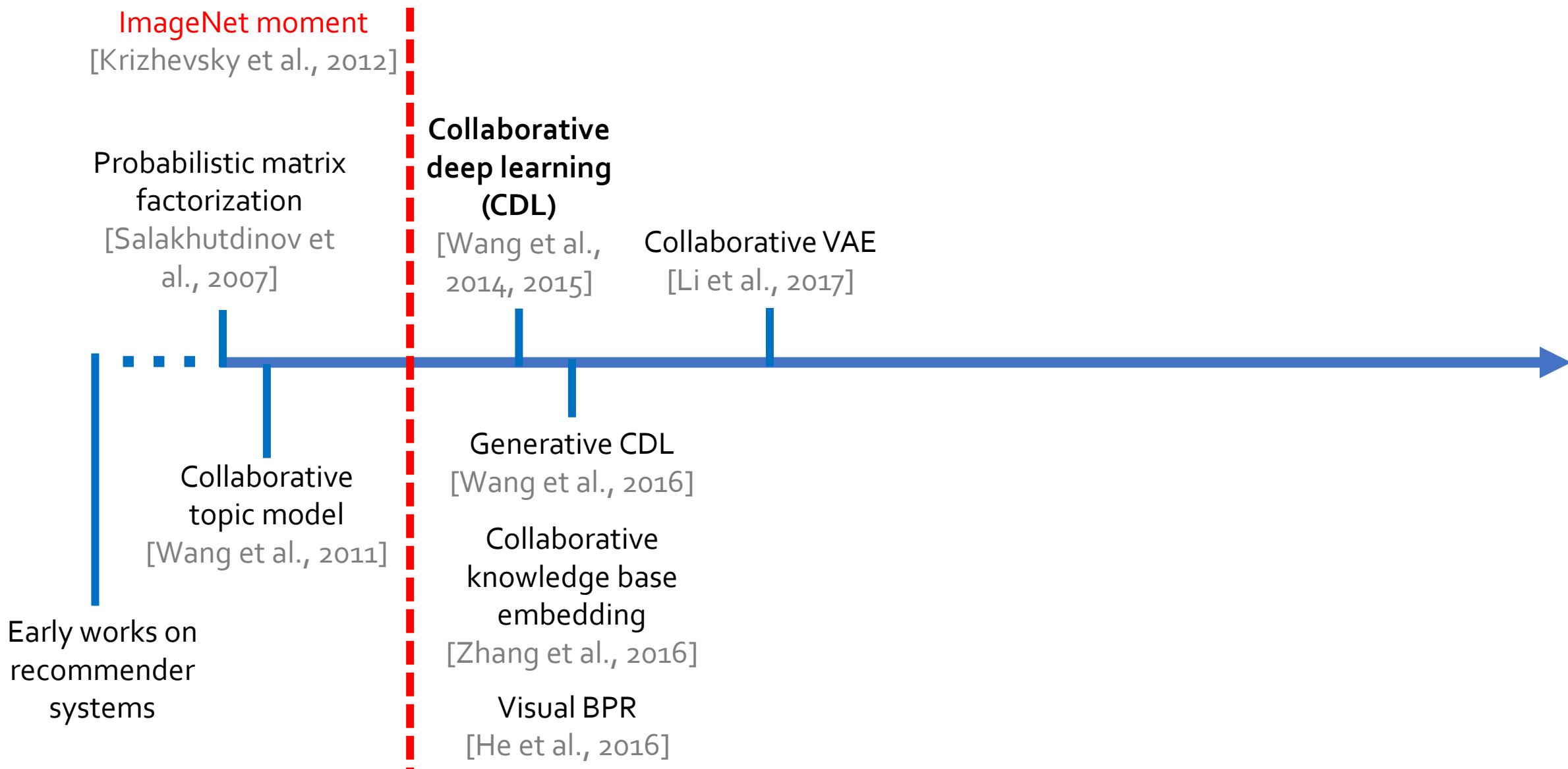


Bayesian *conv.* autoencoder
to process **images**

Different modalities
in knowledge bases:

- Graphs
- Text
- Images

Timeline: Deep Recommender Systems



Collaborative Variational Autoencoder (KDD 2017)

KDD 2017 Research Paper

KDD'17, August 13–17, 2017, Halifax, NS, Canada

Collaborative Variational Autoencoder for Recommender Systems

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The Hong Kong University of Science and Technology
xlibo@connect.ust.hk

James She

HKUST-NIE Social Media Lab

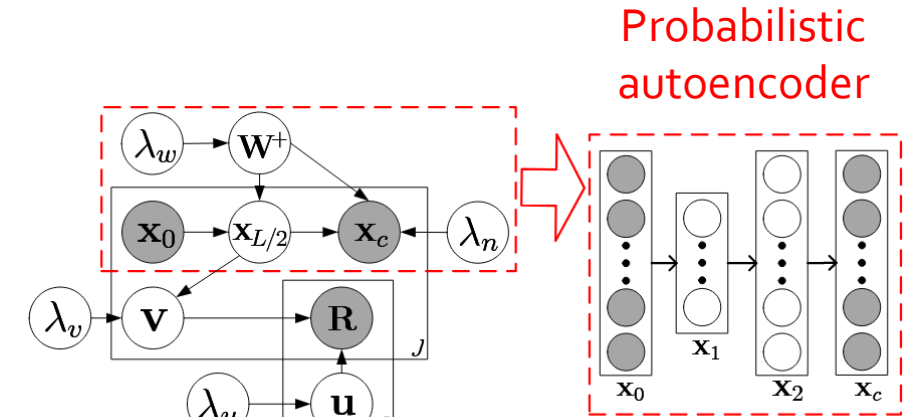
The Hong Kong University of Science and Technology
eejames@ust.hk

ABSTRACT

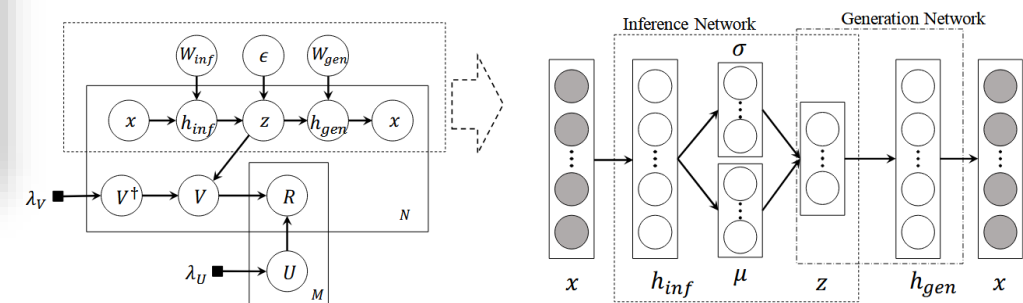
Modern recommender systems usually employ collaborative filtering with rating information to recommend items to users due to its successful performance. However, because of the drawbacks of collaborative-based methods such as sparsity, cold start, etc., more attention has been drawn to hybrid methods that consider both the rating and content information. Most of the previous works in this area cannot learn a good representation from content for recommendation task or consider only text modality of the content, thus their methods are very limited in current multimedia scenario. This paper proposes a Bayesian generative model called collaborative variational autoencoder (CVAE) that considers both rating and content for recommendation in multimedia scenario. The model learns deep latent representations from content data in an unsupervised

users to find information relevant to their interests. For example, users might be not aware of the existence of interesting movies they would like and researchers might find it difficult to search for important scientific articles related to their area of research. Therefore, recommender systems are becoming increasingly important to attract users, and make effective use of the information available. An application example of recommender systems is shown in Fig. 1. Generally, in recommendation applications, there are two types of information available: the rating and the item content, e.g., the posters of the movies or the plot descriptions. Existing methods for recommender systems can be roughly categorized into three classes [1]: content-based methods, collaborative-based methods, and hybrid methods. Content-based methods [12, 15, 17] make use of user profiles or item descriptions and the user will be recommended

- More robust to noise
- Better recommendation performance

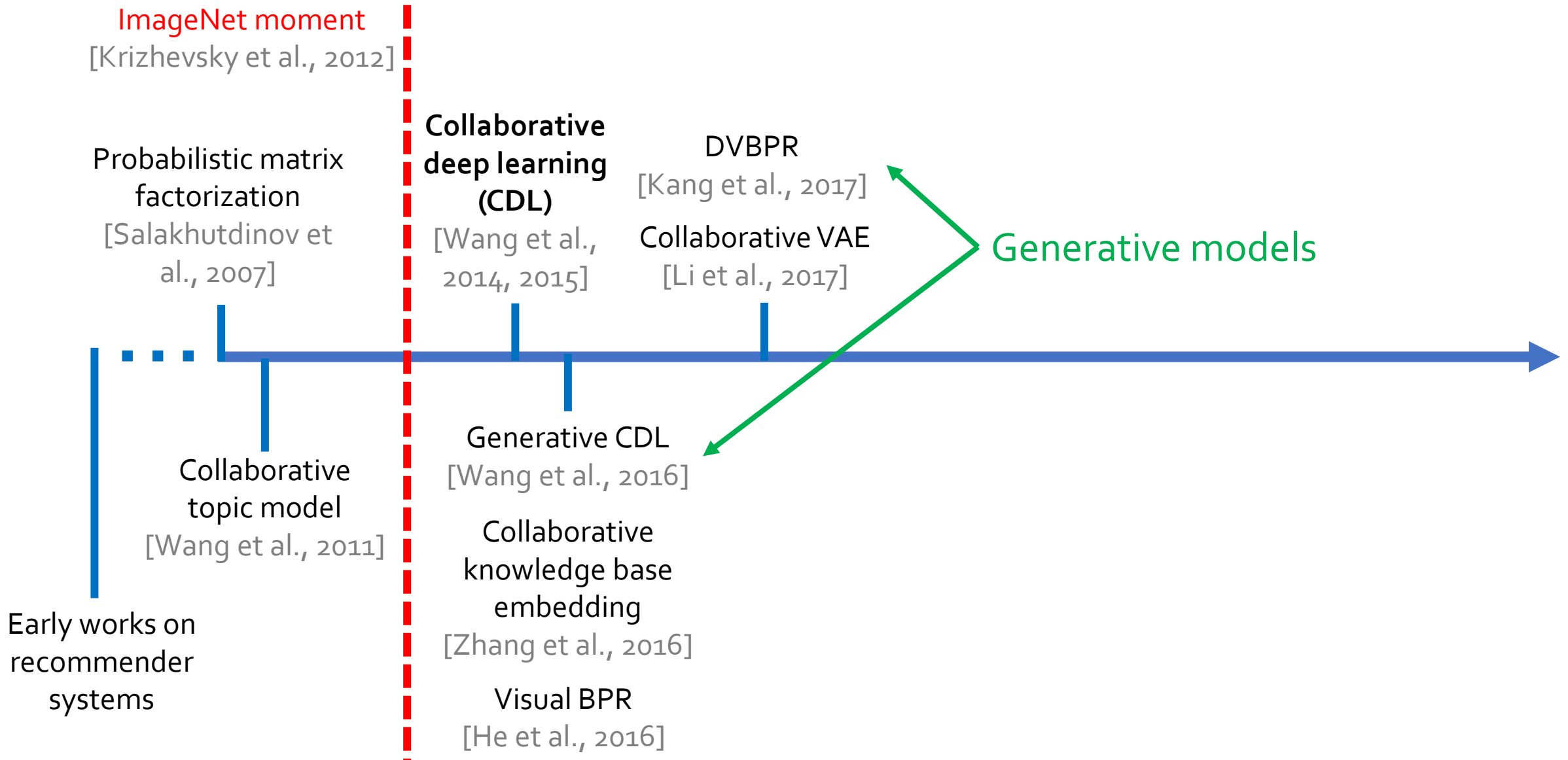


Replace the **probabilistic autoencoder** in CDL with a **variational autoencoder (VAE)**

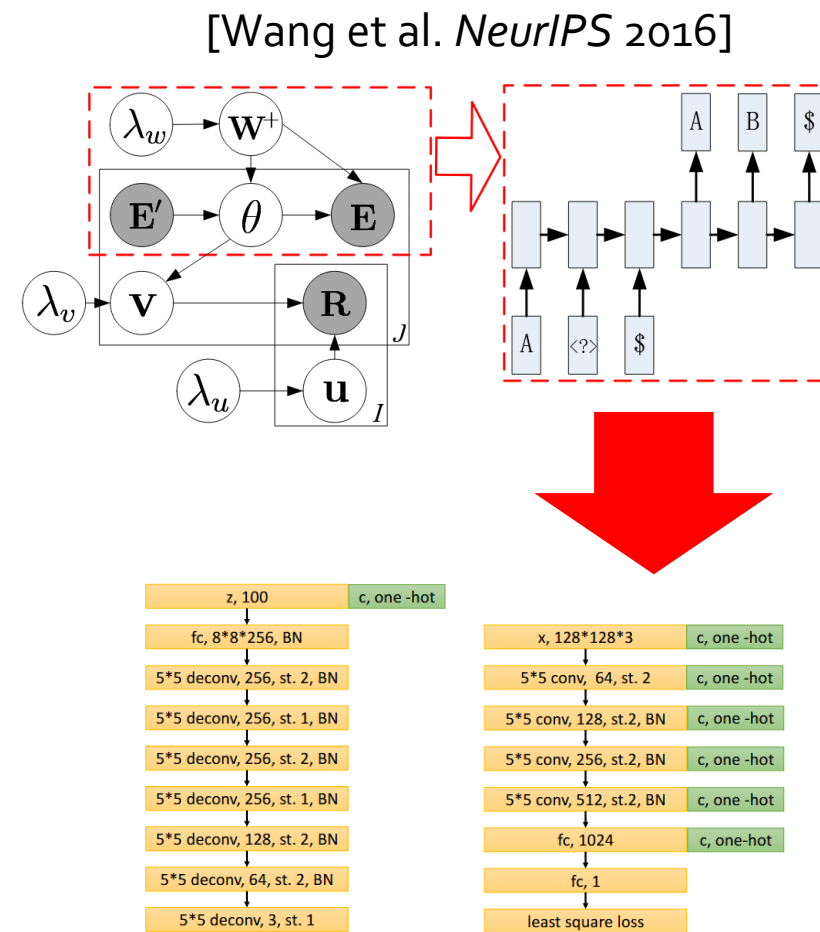


Variational autoencoder (VAE)

Timeline: Deep Recommender Systems



Recommendation and Design with Generative Image Models (ICDM 2017)



The hope: Generated designs better match the user's preference

GAN generator GAN discriminator

[Collaborative recurrent autoencoder: Recommend while learning to fill in the blanks. WSY. *NeurIPS* 2016]

[Visually-aware fashion recommendation and design with generative image models. KFWM. *ICDM* 2017]

The CDL Hypothesis in 2015

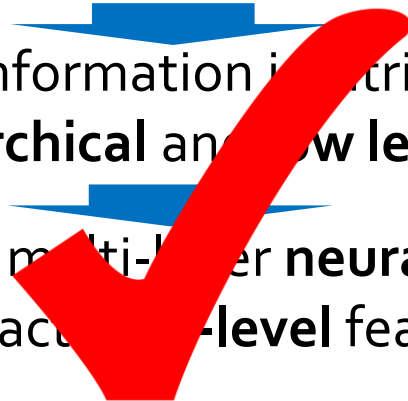


movie \ user					
	1	2	3	4	5
1	✓	?	?	?	?
2	✓	?	?	✓	?
3	?	?	✓	?	?
4	?	✓	?	?	✓
5	✓	?	?	?	?

Content information:
Movie descriptions, etc.

Content information is intrinsically hierarchical and low level

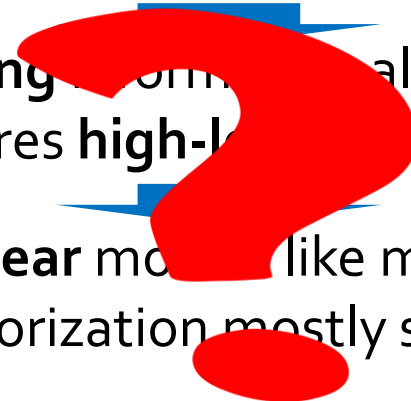
Rely on multi-layer **neural nets** to extract **high-level** features



Rating matrix

Rating matrix already captures high-level semantics

Linear models like matrix factorization mostly suffice

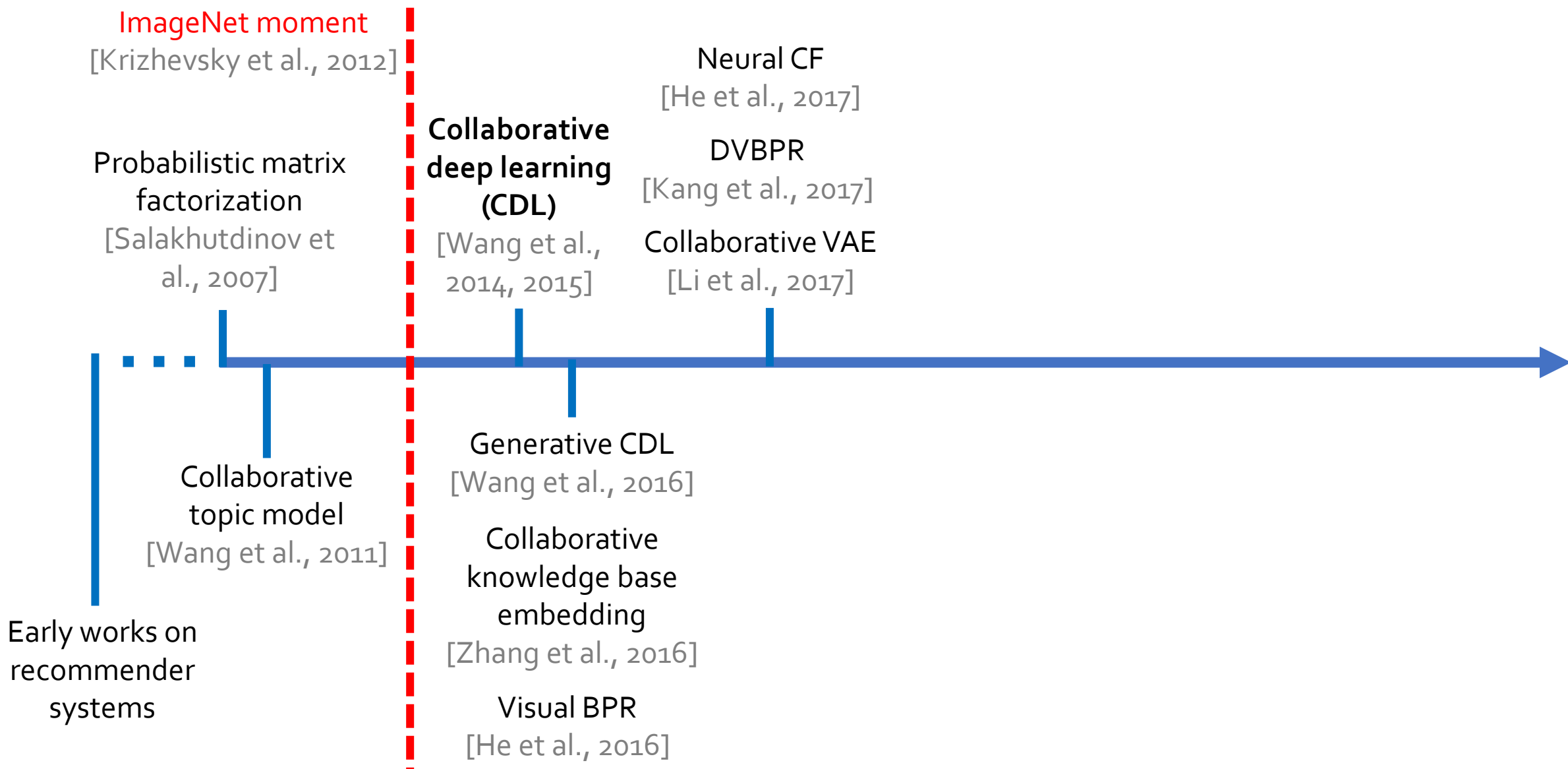


[Collaborative deep learning for recommender systems. WWY. KDD 2015]

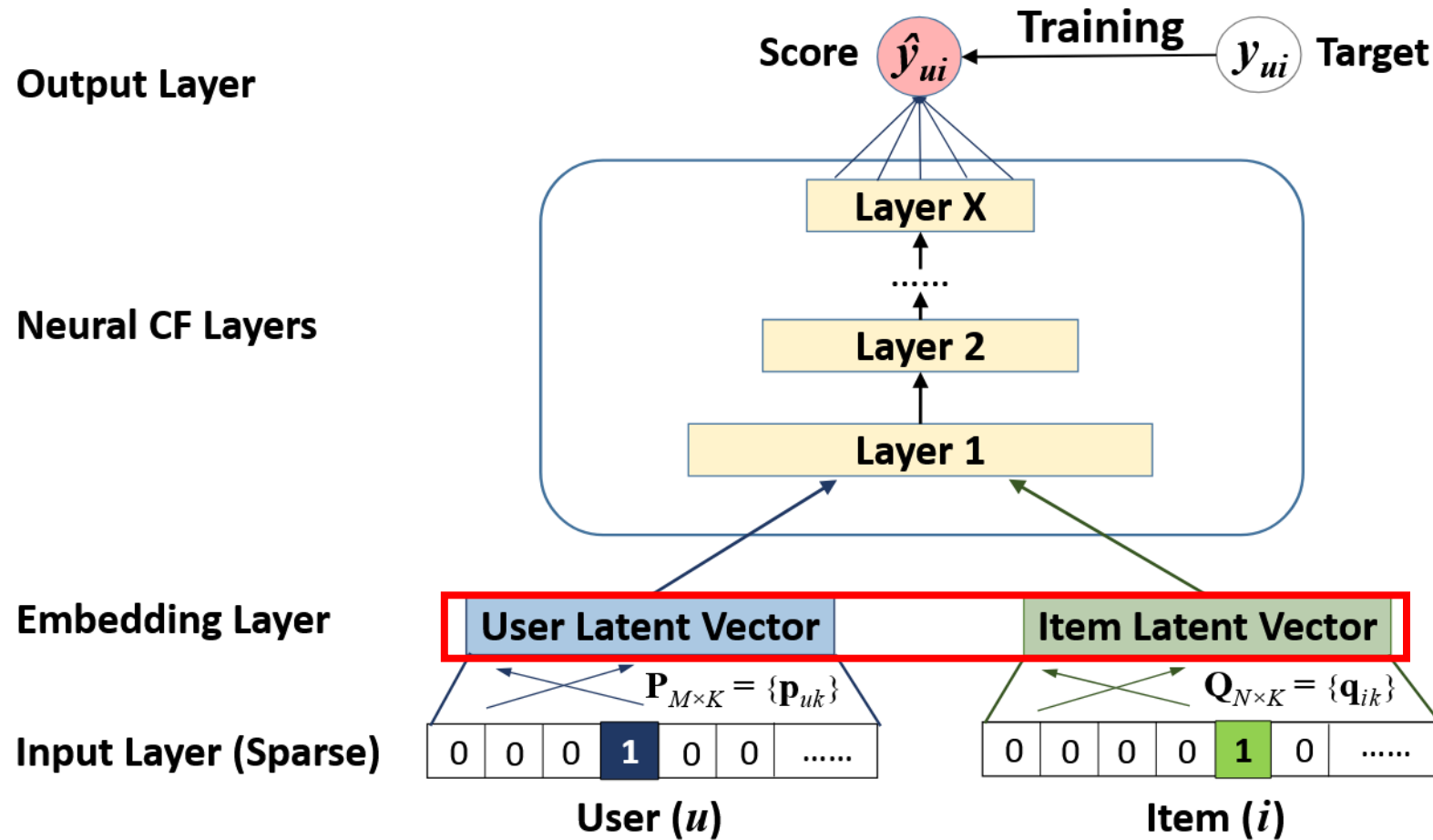
[Neural collaborative filtering vs. matrix factorization revisited. RKZA. RecSys 2020]

Deep Recommender Systems *without* Content Information

Timeline: Deep Recommender Systems



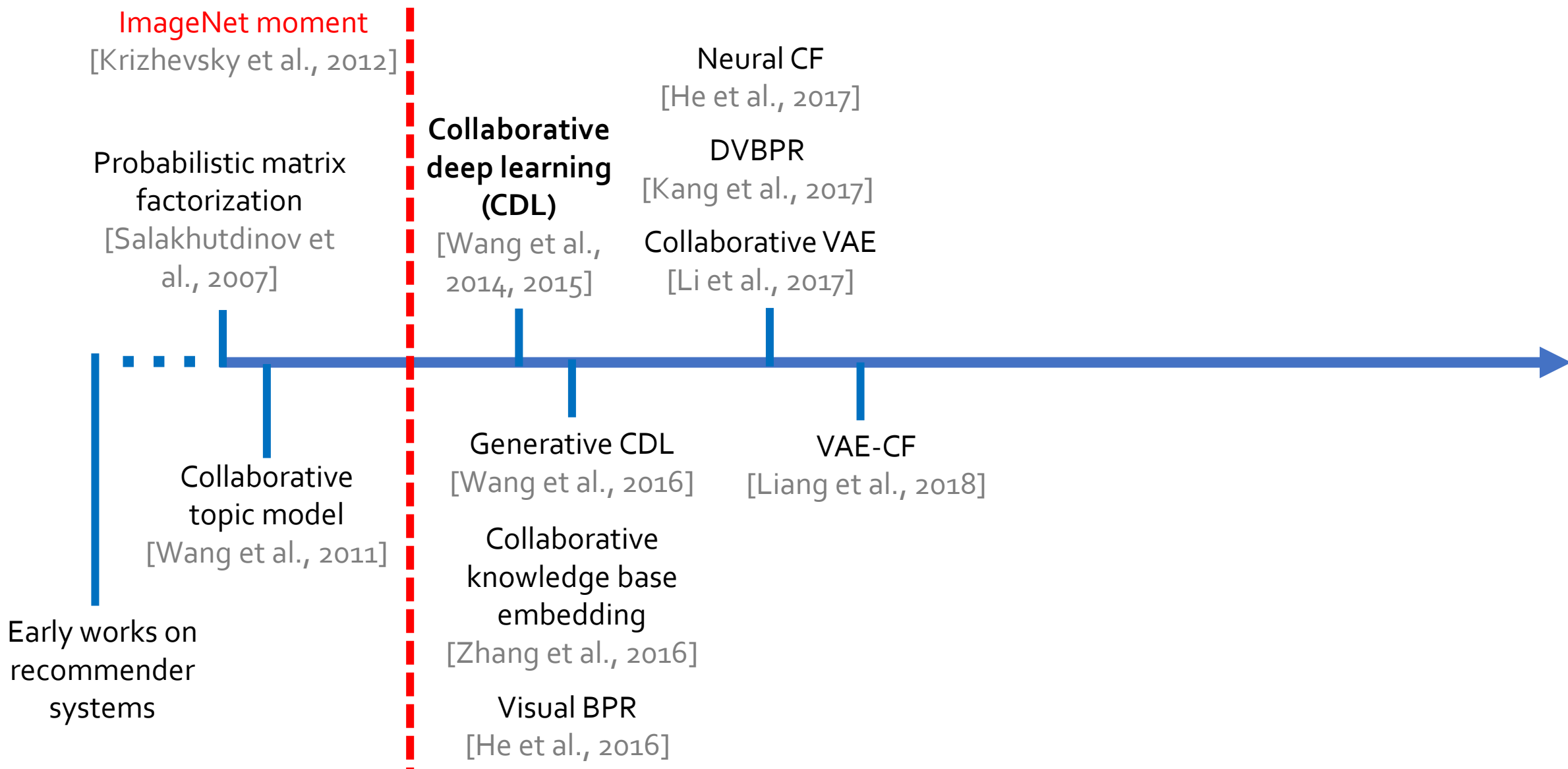
Neural Collaborative Filtering (WWW 2017)



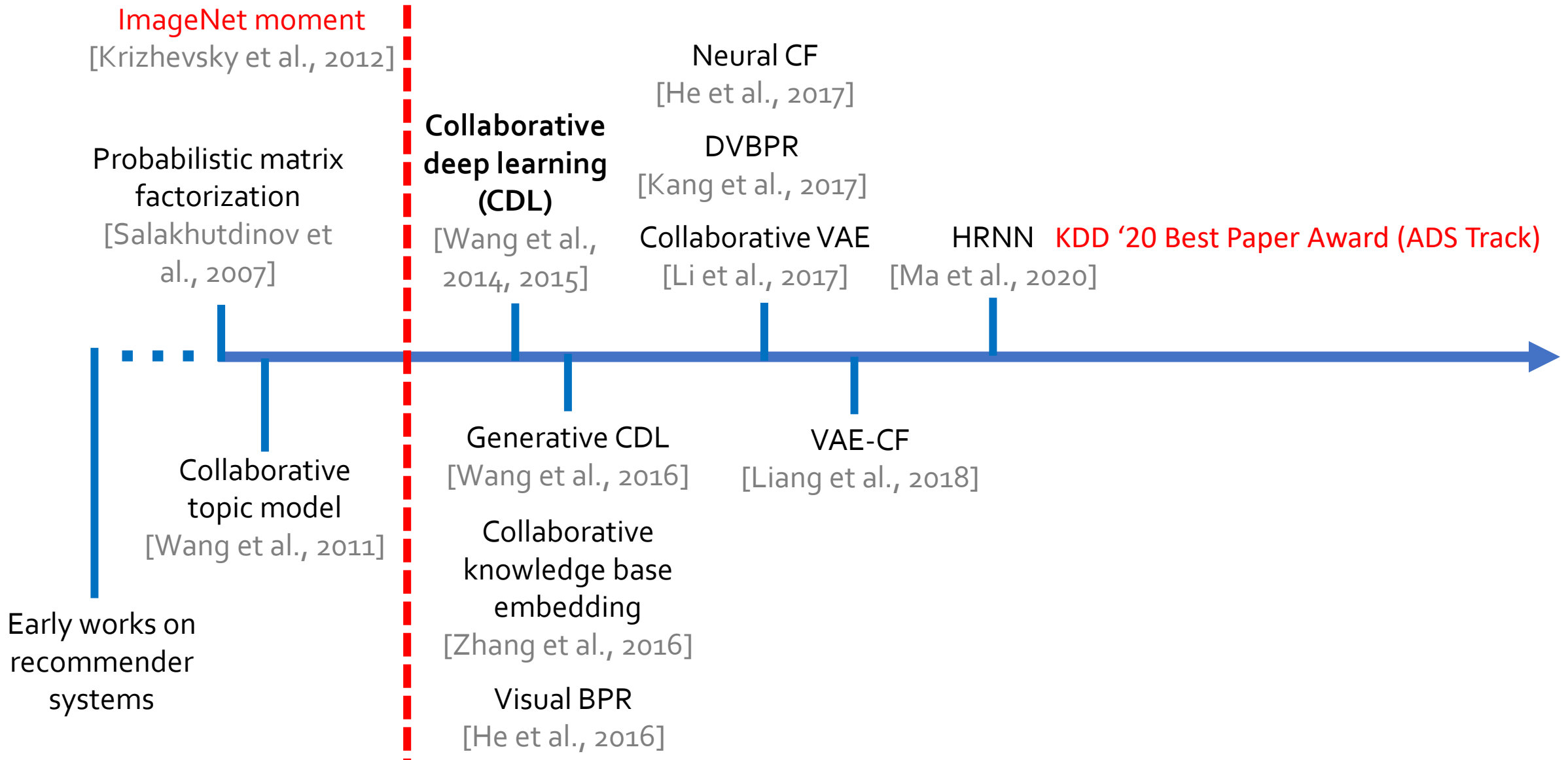
CDL: Nonlinear transformation of item **content**, linear interaction between users and items

NCF: No support for item **content**, nonlinear interaction between users and items

Timeline: Deep Recommender Systems

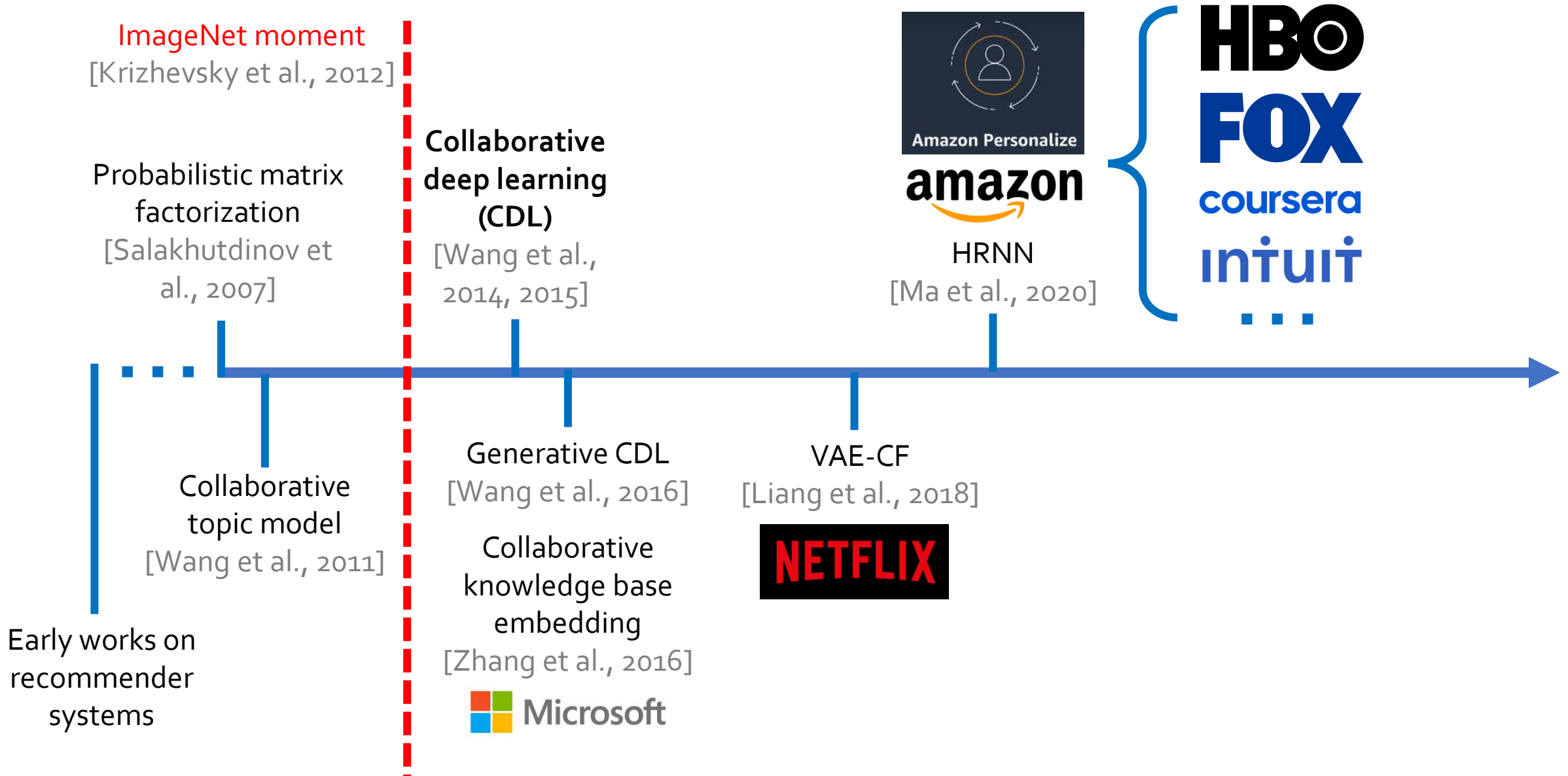


Timeline: Deep Recommender Systems



Deep Recommender Systems in the Industry

Deep Recommender Systems in the Industry



Deep Recommender Systems in the Industry

Google: Wide and deep learning [Cheng et al., DLRS 2016]

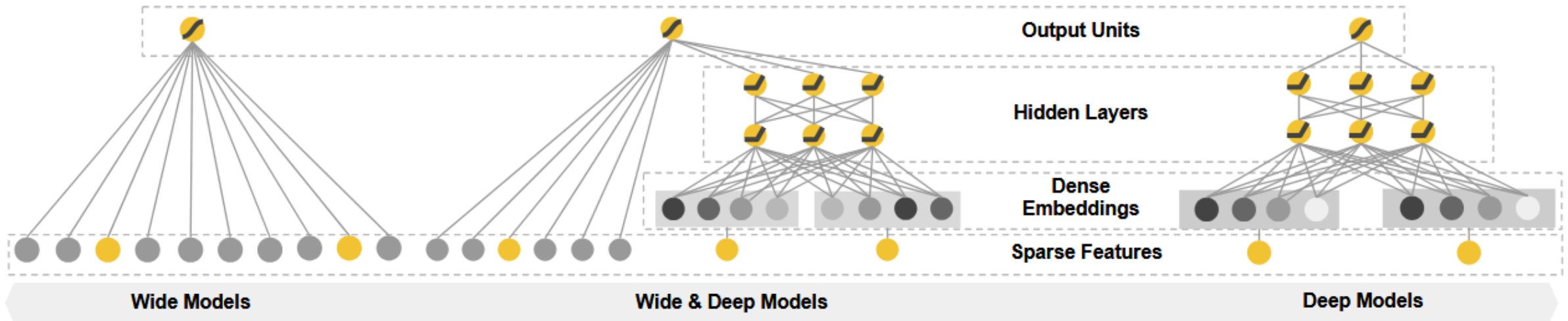
Wide & Deep Learning for Recommender Systems

Heng-Tze Cheng, Levent Koc, Jeremiah Harmsen, Tal Shaked, Tushar Chandra, Hrishikesh Aradhye, Glen Anderson, Greg Corrado, Wei Chai, Mustafa Ispir, Rohan Anil, Zakaria Haque, Lichan Hong, Vihan Jain, Xiaobing Liu, Hemal Shah

Google Inc.*



Deployed at
Google Play,
Google's App Store



Linear, wide model: Binary features, feature interaction
(e.g., $\text{AND}(\text{gender}=\text{female}, \text{language}=\text{en})$)

Nonlinear, deep model: Sparse features
(e.g., user's installed apps)

Deep Recommender Systems in the Industry

YouTube (Google): Deep Neural Nets for YouTube Recommendations [Covington et al., RecSys 2016]

Deep Neural Networks for YouTube Recommendations

Paul Covington, Jay Adams, Emre Sargin
Google
Mountain View, CA
(pcovington, jka, msargin)@google.com

ABSTRACT

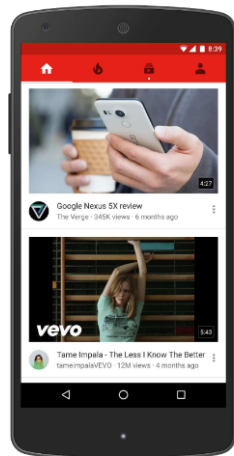
YouTube represents one of the largest scale and most sophisticated industrial recommendation systems in existence. In this paper, we describe the system at a high level and focus on the dramatic performance improvements brought by deep learning. The paper is split according to the classic two-stage information retrieval dichotomy: first, we detail a deep candidate generation model and then describe a separate deep ranking model. We also provide practical lessons and insights derived from designing, iterating and maintaining a massive recommendation system with enormous user-facing impact.

Keywords

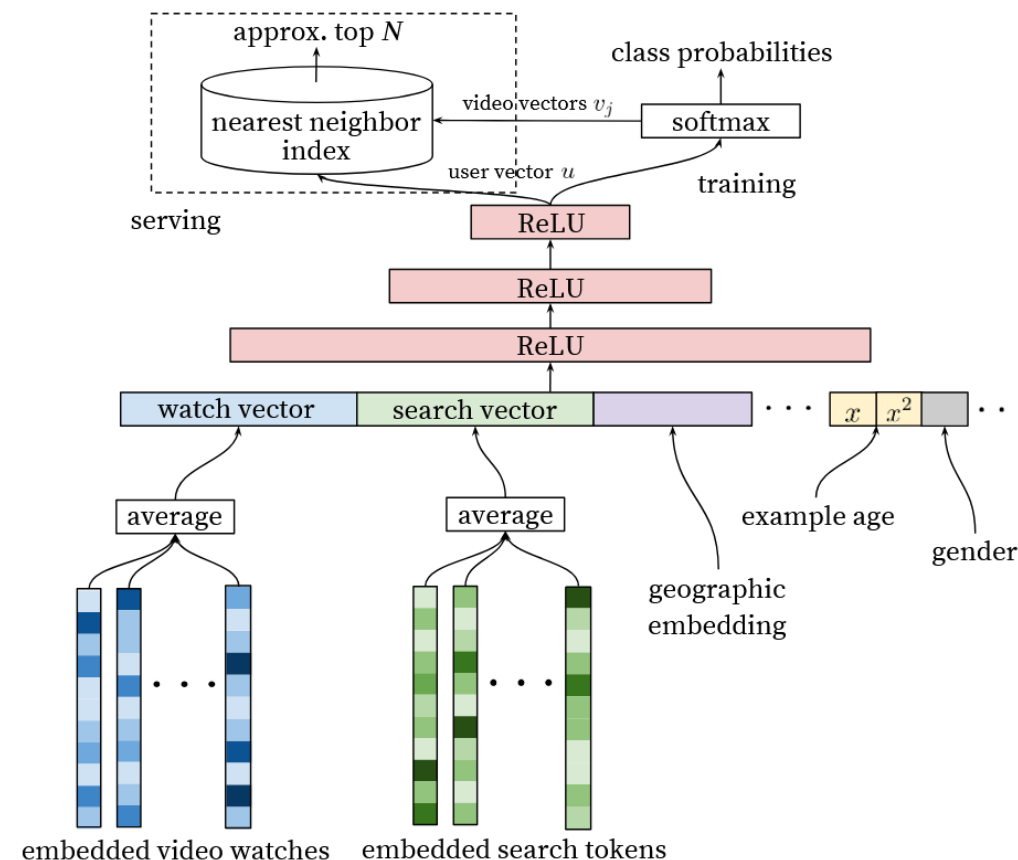
recommender system; deep learning; scalability

1. INTRODUCTION

YouTube is the world's largest platform for creating, sharing and discovering video content. YouTube recommendations are responsible for helping more than a billion users



Deployed and evaluated at YouTube



- Architecture similar to neural CF (NCF)
- Concatenate embeddings of video watches, search tokens, age, gender, etc.

Deep Recommender Systems in the Industry



Microsoft

- **Microsoft:** Collaborative knowledge base embedding [KDD 2016]

NETFLIX

- **Netflix:** VAE for collaborative filtering [WWW 2018]

amazon

- **Amazon:** Hierarchical RNN [KDD 2020]

Google

- **Google:** Wide and deep learning [DLRS 2016]



- **YouTube (Google):** Deep neural nets for YouTube recommendations [RecSys 2016]

airbnb

- **Airbnb:** Applying deep learning to Airbnb search [KDD 2019]

LinkedIn

- **LinkedIn:** Talent search and recommendation systems at LinkedIn [SIGIR 2018]

Meta

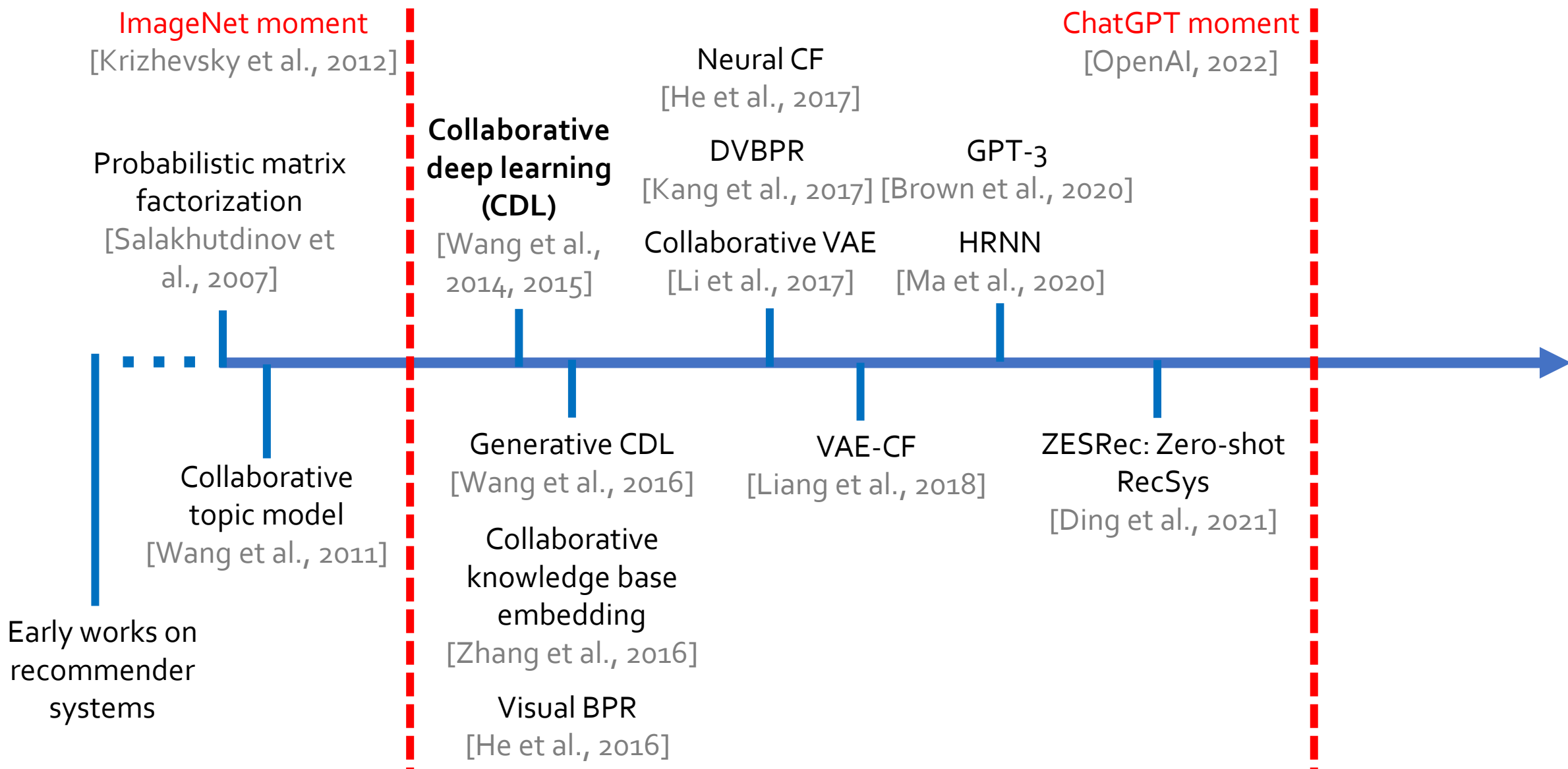
- **Meta:** Deep learning recommendation model, [arXiv 2019]



- **Twitter/X:** Relevance ranking for real-time tweet search [CIKM 2020]

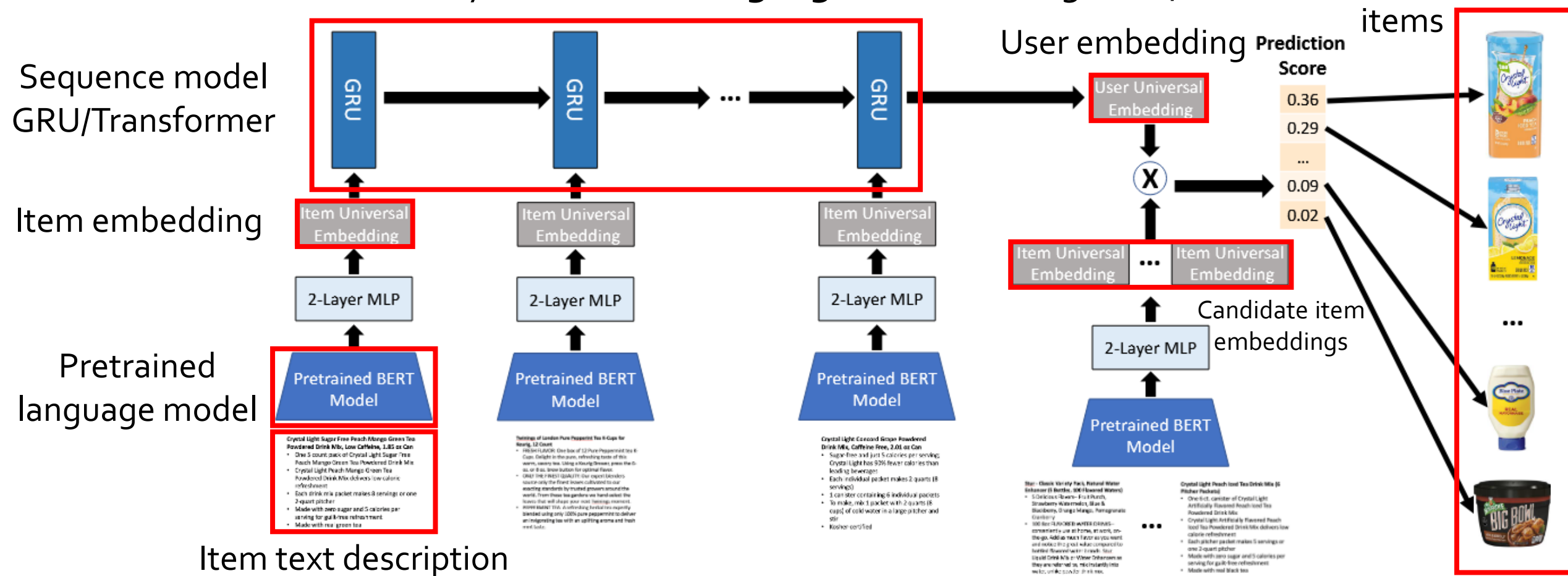
What's Next

Timeline: Deep Recommender Systems



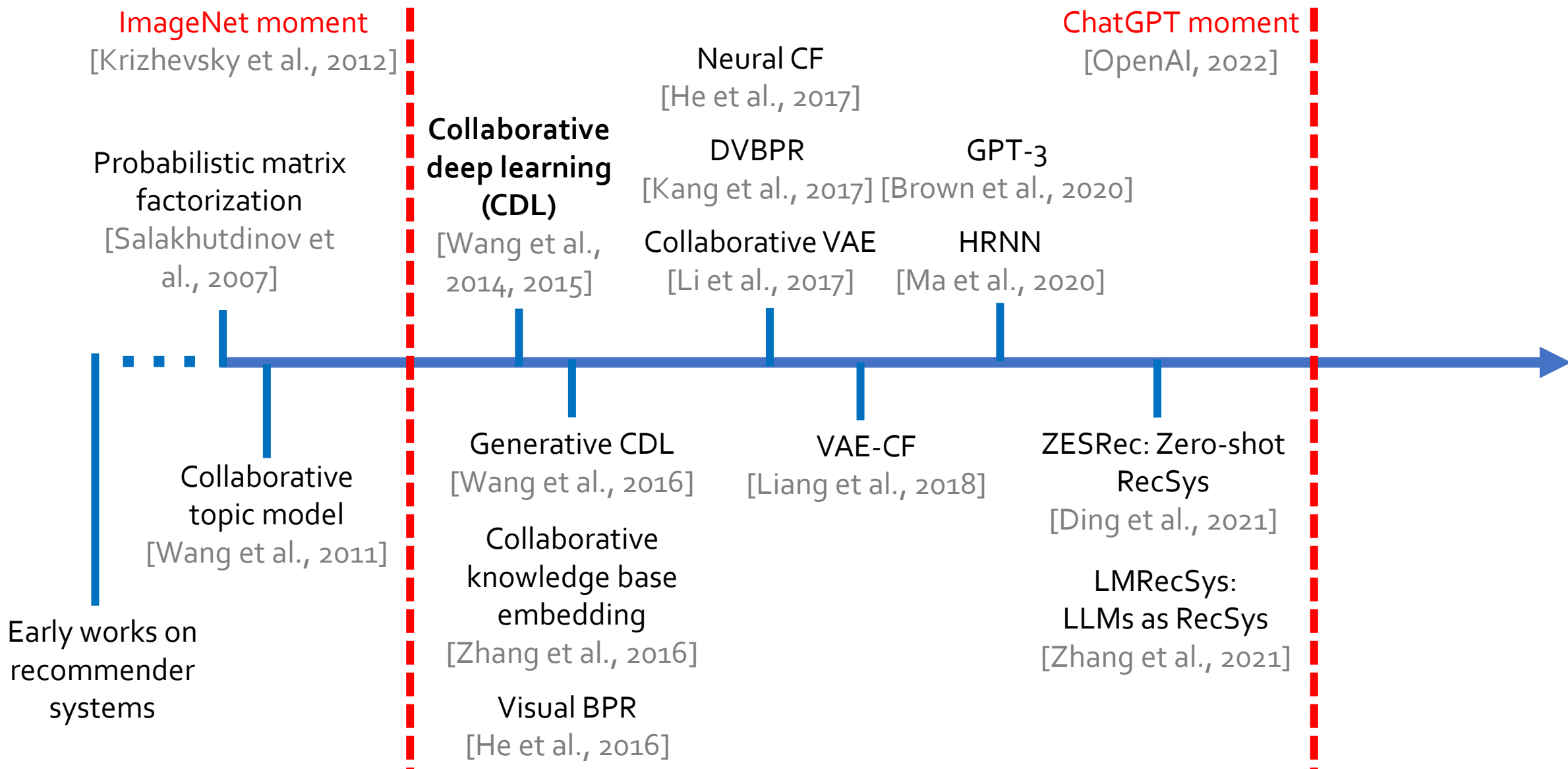
ZESRec: Zero-Shot Recommender Systems

Enabled by **Pretrained Language Models** [Ding et al., 2021] Recommended

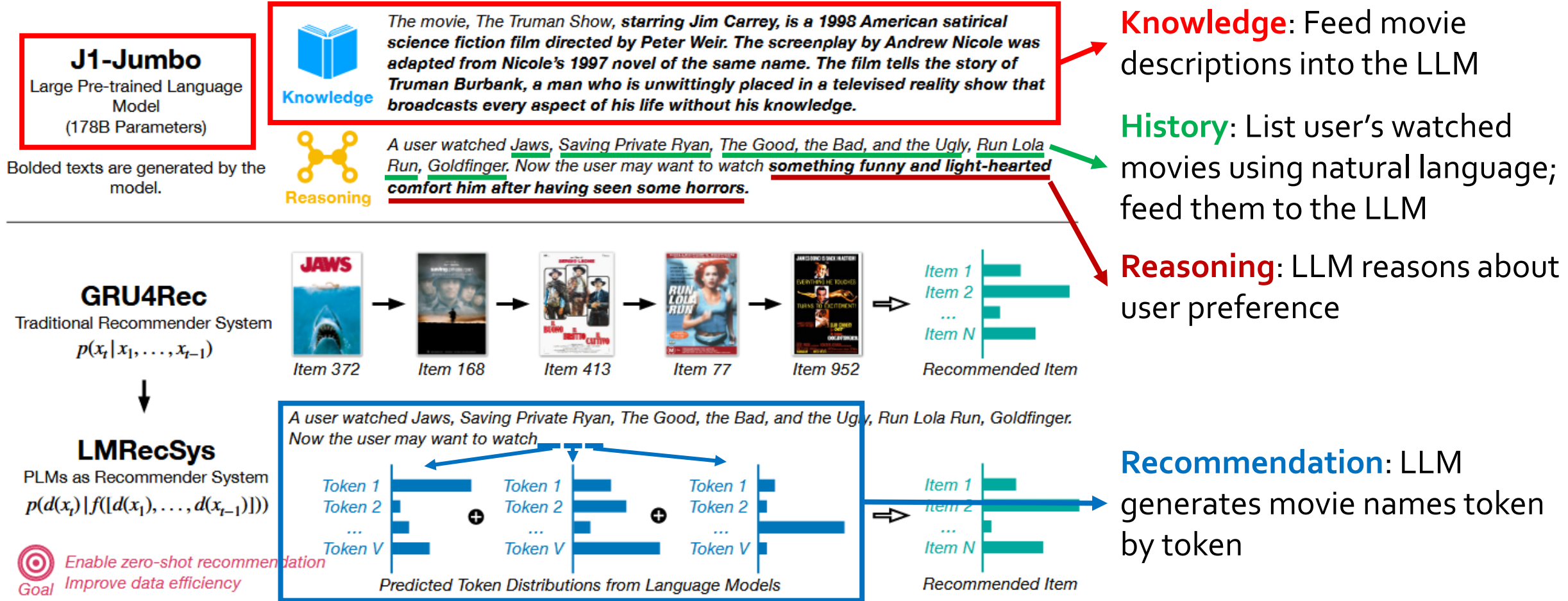


- Everything is grounded in natural **language** (w/ language models); language as universal item ID
- Enable **zero-shot** recommendation (both new users and new items)

Timeline: Deep Recommender Systems



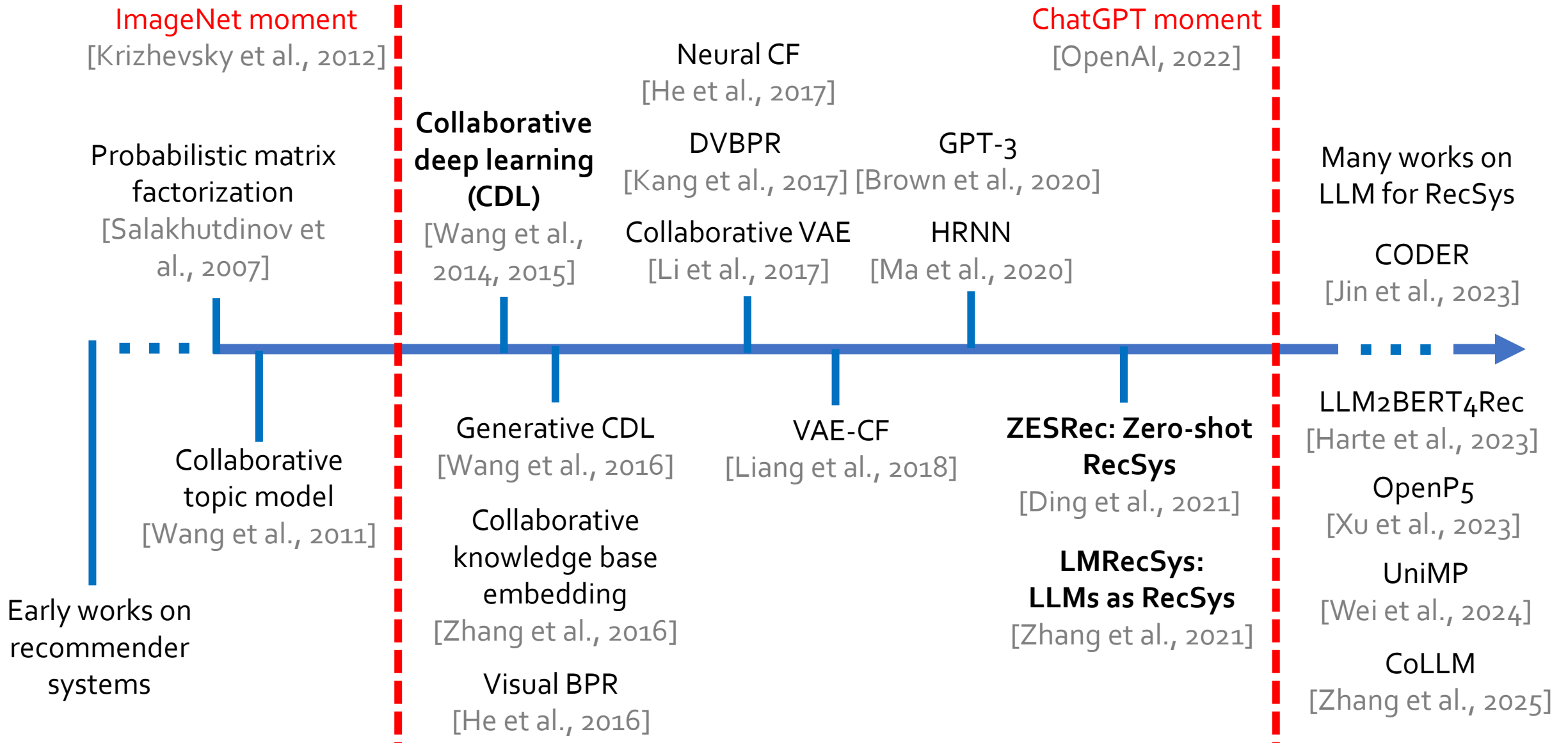
LMRecSys: Large Language Models (LLMs) as Zero-Shot Recommender Systems [Zhang et al., 2021]



Addressed challenges

- Linguistic bias: Grammar words dominate token probabilities
- Out of vocabulary: Recommend movies that do not exist, i.e., hallucination

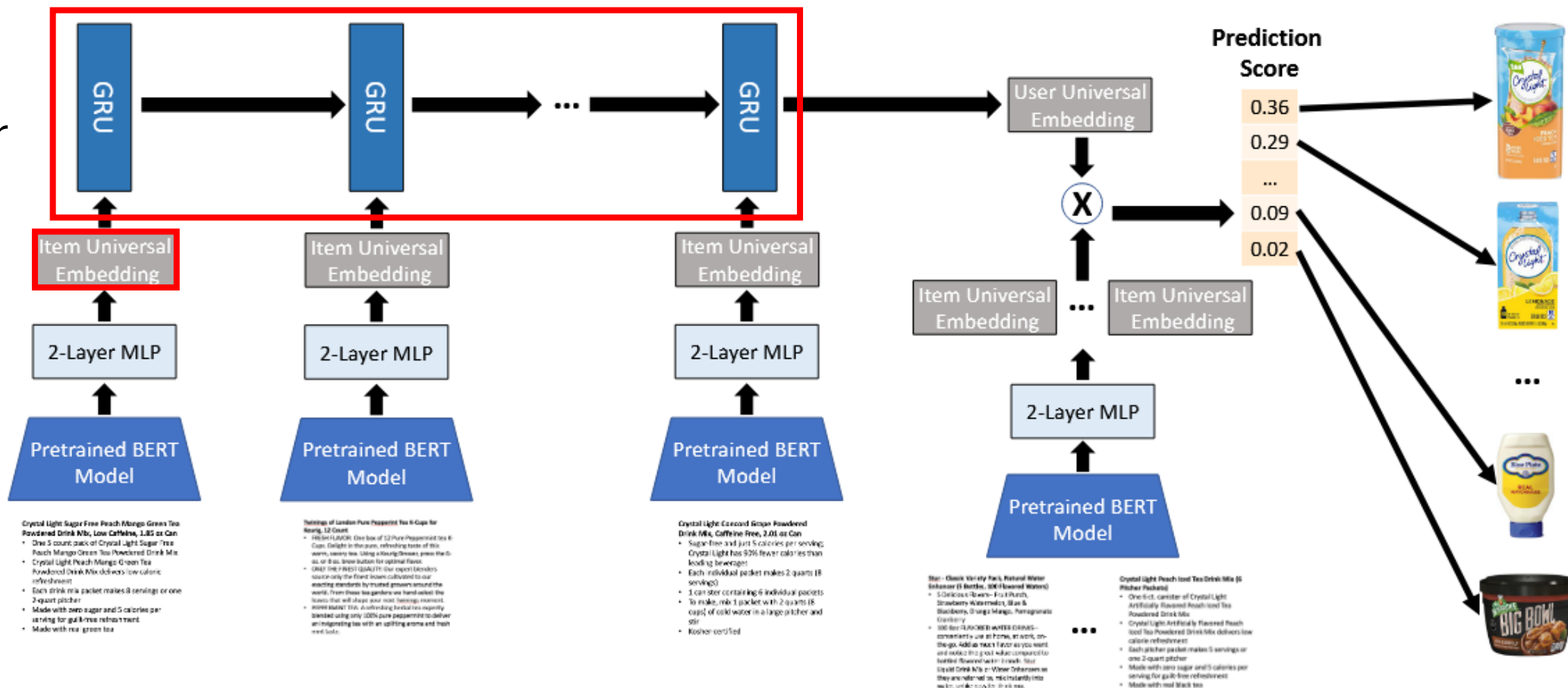
Timeline: Deep Recommender Systems



LLM2BERT4Rec [Harte et al., 2023] as Improved ZESRec

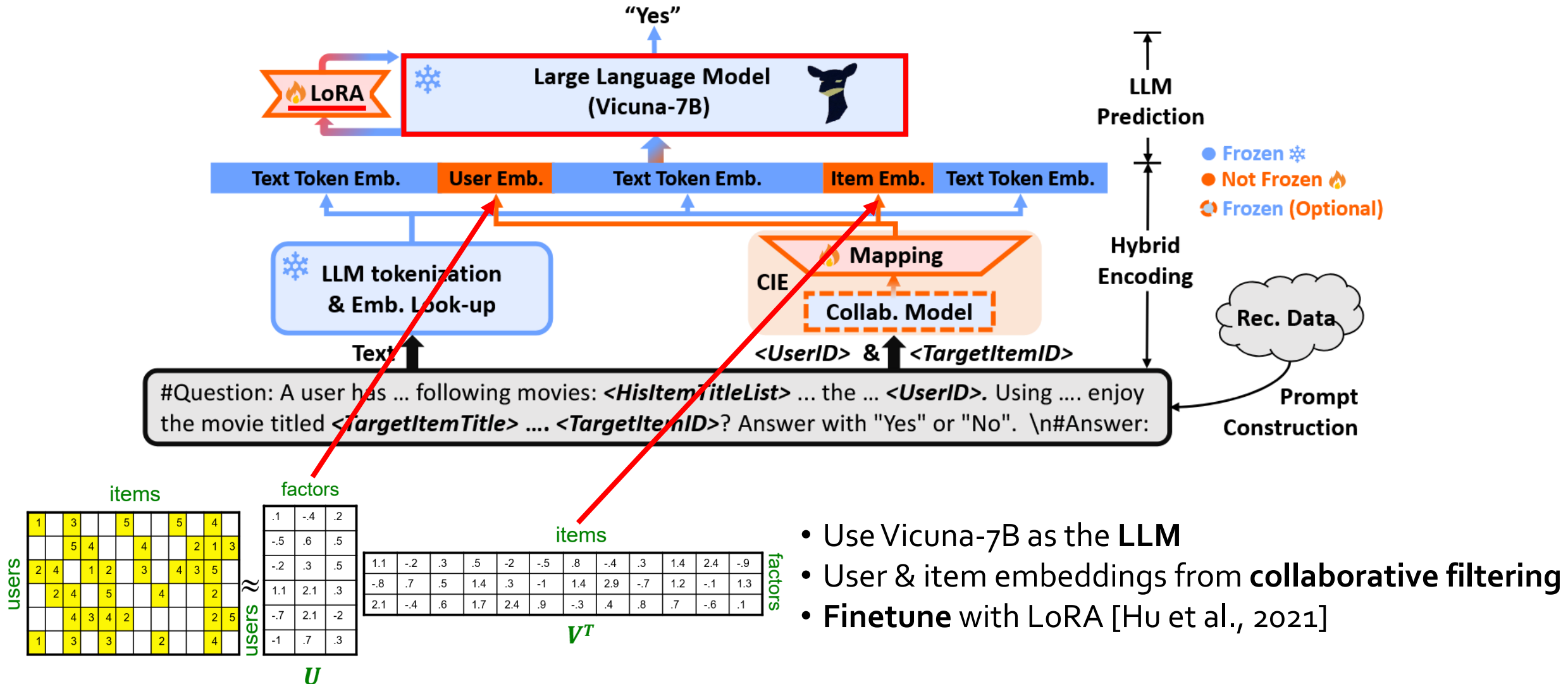
Sequence model
GRU/Transformer

Item embedding



- **Sequence model:** Replace GRU (RNN) in ZESRec [Ding et al., 2021] with a Transformer
- **Embedding model:** Replace BERT in ZESRec with OpenAI's text embedding

CoLLM [Zhang et al., 2025]: Incorporating Collaborative Filtering Information into LLMs



To Wrap Up

The Next Decade of Recommender Systems

From task-specific recommenders to **general** recommenders
(e.g., foundation models and agentic models)

- **Zero-shot** and few-shot recommendation to become the norm
 - Early work: From CDL [Wang et al., 2015] to ZESRec [Ding et al., 2021]
- Tighter integration between recommendation and **generation**
 - Early work: DVBPR [Kang et al., 2017], LMRecSys [Zhang et al., 2021]
- Generation introduces **new risks** (hallucination, toxicity, etc.)
 - Survey: [Deldjoo et al., 2025]

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Eric Xing	Dina Katabi
Tommi Jaakkola	... many more!

Family and friends ...



The Next Decade of Recommender Systems

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